Implementing Leandra’s Law in New York State

• Authority: November 18, 2009 — Governor David Paterson signs Chapter 496 of the Laws of 2009:
  – Effective December 18, 2009-
  – New Class E Felony Offense-Enhanced Penalties for Persons who Operate a Motor Vehicle while Intoxicated with a Child passenger under 16 years of age, with penalty of up to 4 years in state prison
  – Also enhances penalties for driving while intoxicated and causing the death or serious injury to any child (ren) passenger under 16 years of age. Operators convicted of Aggravated Vehicular Assault (Class C Felony) and Aggravated Vehicular Homicide (Class B Felony) offenses are subject to state imprisonment up to 15 and 25 years imprisonment, respectively.
Implementing Leandra’s Law in New York State

Impact: Section 5 of Chapter 496 of the Child Passenger Protection Act or Leandra’s Law is effective August 15, 2010

- Requires that persons convicted of having committed VTL Section 1192 Misdemeanor or Felony DWI Offenses, on or after the date of enactment, (November 18, 2009) and sentenced on or after August 15, 2010, be sentenced to a term of probation or conditional discharge, in addition to any sentence of imprisonment or payment of any fine imposed, and have an Ignition Interlock Device installed in any motor vehicle they “own or operate”

New York State joins 9 other states as “first offender states” implementing statewide mandatory use of Ignition Interlock Devices including Alaska, Arizona, Colorado, Hawaii, Louisiana, Nebraska, New Mexico, Utah and Washington.

Illinois and Arkansas have implemented non-mandatory programs.

California has implemented a limited pilot program.

Alcohol Ignition Interlocks are a proven tool to effectively reduce impaired driving, protect the public and support long-term risk reduction among drunk drivers according to a study by The Traffic Injury Research Foundation, February 2010
Implementing Leandra’s Law in New York State

• Which agency is statutorily authorized to lead? Chapter 496 of the Laws of 2009, Section 4 (g)

  § 4. Subdivision 1 of section 1193 of the vehicle and traffic law is amended by adding a new paragraph (g) to read as follows:

  (g) The division of probation and correctional alternatives shall promulgate regulations governing the monitoring of compliance by persons ordered to install and maintain ignition interlock devices to provide standards for monitoring by departments of probation, and options for monitoring of compliance by such persons, that counties may adopt as an alternative to monitoring by a department of probation.

Implementing Leandra’s Law in New York State

• Statutory provision and requirement to address “unaffordability”

  Chapter 496 Section 5

  (a) The cost of installing and maintaining the ignition interlock device shall be borne by the person subject to such condition unless the court determines such person is financially unable to afford such cost whereupon such cost may be imposed pursuant to a payment plan or waived.

  In the event of such waiver, the cost of the device shall be borne in accordance with regulations issued under paragraph (g) of subdivision one of section eleven hundred ninety-three of this article or pursuant to such other agreement as may be entered into for provision of the device.
Implementing Leandra’s Law in New York State

Who are the stakeholders?
- Governor, Legislature, Judiciary
- Counties/Agencies—Probation, STOP-DWI, DDP, TASC, etc.
- Prosecutors and Defense Counsel
- Law Enforcement-NYSP, Sheriffs, Police
- Statewide Associations—NYSAC, STOP-DWI Coordinators,
- NYS Drinking Driver Programs, Traffic Safety Programs
- State Agencies—Governor’s Counsel, DOH, DMV, OGS, OSC, OAG, OASAS, DCJS, Parole, DOCS, DOB
- ITSMR-Institute for Traffic Safety Management and Research
- Ignition Interlock Device Manufacturers
- Motor Vehicle Operators and the Public

DPCA Promulgated Statewide Emergency Regulations
- DPCA promulgated Statewide Emergency Regulations on April 23, 2010 as Part 358 of 9 NYCRR
- Formal Rulemaking pursuant to the Statewide Administrative Procedure Act (SAPA) has also commenced.
- DPCA involved all state and local stakeholders in the development and review of multiple drafts of the regulations.
- Draft regulations shared with governors counsel, legislature, judiciary, state agencies (DMV, SCOC, DOCS, Parole, DCJS, OASAS) counties/probation departments, prosecutors, defense counsel, NYSAC, county executives and managers, stop-dwi and drinking driver programs, ignition interlock manufacturers, etc.
Implementing Leandra’s Law in New York State

- What are the 8 essential components of implementation?
  - Establish Workgroup (December 2010)
  - Promulgate Emergency Regulations (April 23, 2010)
  - Request for Applications by Manufacturers (May 12, 2010)
  - Contract Development and Execution—(July 15, 2010)
  - County Plans (Submission Deadline 6/15/10)
  - Promulgate Statewide Forms (June 3, 2010)
  - Statewide Training—NYPTI, Magistrates, Judicial Webinar
  - Law enforcement series
  - Strong Leadership

Implementing Leandra’s Law in New York State

- Request for Manufacturer Applications
  - Manufacturers Roundtable Held March 8, 2010
  - Request for Applications—Proposals Returnable May 12, 2010
    - 7 manufacturers submit applications to be considered as “qualified” to do business on and after August 15, 2010
    - service availability —available to all DWI operators within 50 miles
    - compliance with regulations (DPCA Part 358 of and DOH Part 59)
    - intent to service 1-4 regions within NYS—regional approach attracts multiple vendors and new vendors, ensuring a quality product and service at a competitive price (affordability)
    - Set initial fee/charge structure ceiling —competition drives pricing
    - Qualified Manufacturers must provide Court ordered “payment plan” or IID’s to operators deemed unable to afford them —assume 10% statewide “unaffordability” rate (not indigency)
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DPCA Regulations require manufacturer IID specifications:

- IID set point of .025% BAC
- Start-up test; and Start-up retest of 5-15 minutes (following failed Start-up test)
- Initial Rolling test within random variable intervals ranging from 5-15 mins.
- Subsequent Rolling tests at random intervals not to exceed 30 mins.
- Rolling retests within 1-3 minutes following failed or missed rolling test.
- IID shall enter lockout mode upon following events: one failed start-up retest, one missed start-up retest, one failed rolling re-test or one missed rolling retest within one service period, or one missed service visit. Lockout requires operator to return to service center within 5 business days or the motor vehicle becomes inoperable (will not start)
- All events, including failed start-up tests, failed rolling tests are recorded and reported to monitors

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DPCA regulations require counties (and the NYC) to submit implementation plans by June 15, 2010

- Designate monitoring agency for CD cases (default is probation)
- Acknowledge agreement with statewide regulations: monitoring agencies for CD cases to determine Class of Instrument; probation to determine Class and features; and operators to select model and manufacturer of IID
- Procedure for equitable distribution of “waived” IID units
- Procedure for distribution of any available funding to support monitoring
- Procedure for Court notification to monitoring agencies within 5 business days
- Procedure for advanced notification of operators released from incarceration
- Procedure to report failed tasks/tests/lockouts
Implementing Leandra’s Law in New York State

DPCA Regulations requirements for monitoring:

- Monitor shall receive court notification of order for IID within 5 business days.
- Installation/service provider shall install within 7 business days of request—Operators required to have IID installed w/in 10 business days of Court Order.
- Operator shall provide proof of installation within 3 business days to Court, County Probation Department and any designated monitor.
- Operator shall submit to service visits within 30 calendar days. For detachable handheld units, submit to 30-day visits, followed by 60-day visits.
- Monitor shall notify the appropriate court and district attorney, within 3 business days of following: 1) operator failure to install IDD, 2) operator has not complied with required service visit, 3) any report of alleged tampering or circumvention of IDD, or 4) any report of lockout mode, and/or any report of a failed test or retest where BAC is .05% or higher.

Implementing Leandra’s Law in New York State

- Metrics—DWI Convictions in New York State
- 2008 Data
  - 65,000 arrests for DWI
  - 25,000 convictions (felony and misdemeanor)
  - 20,000 result in violations (DWAI)

- 25,000 convictions (felony and misdemeanor)
  - 9,000 (40%) sentenced to probation
  - 16,000 (60%) other dispositions including prison (300-400), jail and large majority pay fine
    - -- 50-70% of first-time offenders do not return
    - --30% are second and repeat offenders.
Implementing Leandra’s Law in New York State

• 25,000 convictions
  – Nationally, fewer than 10% (7-8%) of operators required to have ignition interlock devices installed on their vehicles ever violate.
  – Ignition Interlock Technology is invasive, sensitive and effective— it works and so…. let the technology work!
  – Important to distinguish probation and non-probation (CD) cases and to manage them differently (do not “widen the net”)
  – Impact Example: Orange County—829 DWI Convictions in 2008
    • 211 (24%) sentenced to probation/102 felonies & 109 misdemeanors—21 offenders will violate
    • 618 (66%) jail, prison or fine—majority paid fine will be granted conditional discharge and monitored—61 offenders will violate

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Source: NYS DPCA IPRS as of 2/15/2009 and CCH as of 1/2010
Provided by NYS DCJS OJRP 3/2/2010
Implementing Leandra’s Law in New York State

• Issues for Counties—Costs of Monitoring and Supervision
  • DPCA has submitted a statewide grant application in an amount up to $3 million to the Governor’s Traffic Safety Committee (GTSC)—Start Date 10/1/10 (federal fiscal year)
  • If awarded, funds will be distributed to counties and the City of New York based on their percentage of 2008 DWI convictions
  • Grant term: October 1, 2010-Sept. 30, 2011
  • Federal Funds regarded as seed money and not sustaining

Implementing Leandra’s Law in New York State

• Multiple Manufacturers to serve 25,000 offenders
  – NYS divided into four (4) regions (consistent with COPA) to ensure that the service is available to all localities (avoid “cherry-picking”) and regulations require installation sites within 50 miles
  – Manufacturers may request to be qualified by DPCA to do business in 1, 2, 3 or all 4 regions, provided they meet DPCA, DOH regulations and the 50-mile service requirement
  – This approach will spur statewide competition ensuring quality product and service at a competitive price
  – Operators will shop manufacturer (model) but probation and monitoring agencies will determine the class of ignition interlock device and probation will determine features as well
Implementing Leandra’s Law in New York State

- Regions of New York State for IID Service Operation. New York State has been divided into four regions for the provision of ignition interlock services. A qualified manufacturer designated to conduct business in a given region must provide interlock services to every county in the region. Counties included in each of the four regions are as follows:
  - **Region 1:** Allegany, Cattaraugus, Cayuga, Chautauqua, Chemung, Erie, Genesee, Livingston, Monroe, Niagara, Orleans, Schuyler, Seneca, Steuben, Wayne, Wyoming, and Yates;
  - **Region 2:** Broome, Chenango, Clinton, Cortland, Essex, Franklin, Fulton, Hamilton, Herkimer, Jefferson, Lewis, Madison, Oneida, Onondaga, Oswego, St. Lawrence, Tioga, and Tompkins;
  - **Region 3:** Albany, Columbia, Delaware, Dutchess, Greene, Montgomery, Orange, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Sullivan, Ulster, Warren, and Washington; and
  - **Region 4:** Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk, and Westchester.

- The New York State Division of Probation and Correctional Alternatives classifies all certified ignition interlock devices into categories based upon features of the devices. This classification system and subsequent device classification is subject to change by the Division as new information becomes available. The Division will classify ignition interlock devices utilizing the following system:
  - **CLASS I:** This CLASS contains the following features:
    - Meets all New York State Department of Health and National Highway Traffic Safety Administration Regulations and Standards, Utilizes fuel cell technology,
    - Reporting capabilities, Capabilities for storage of data, Programmable Re-Test Sequences, Data download, inspection and re-calibration service, and
  - Anti-tampering and anti-circumvention features.
Implementing Leandra’s Law in New York State

- **CLASS II**: This CLASS has all the features of CLASS I and contains the following additional features:
  - Photographic positive identification capability (camera or biometric facial recognition).
- **CLASS III**: This CLASS has all the features of CLASSES I and II and contains one or more of the following additional features:
  - GPS location of vehicle capability, Real time data reporting, Infra-red or other low-light camera capability for night use, Hum Tone Detection, Infra-red sensor that detects heat and proximity to verify human breath, Keys enabling service codes to be entered, Early recall system if a fuel cell fails-uses split cell technology, Restricted drive time capabilities, Unlock code to minimize towing due to lockouts, Voice instruction, Probation/Judicial Internet Access for Real-Time Monitoring 24/7, 911 Emergency Response, target tracking, subject must be in photo to take test.

Implementing Leandra’s Law in New York State

Matching operator with Class of Ignition Interlock Device

- Distinguish first time convicted offender from repeat offender and conditional discharges and probation cases
- 25,000 convictions >>> 9,000 probation cases
- Utilizing all classes of instruments will lower cost to operator, increase affordability and decrease need for payment plans and consideration of waived costs
- Consider monitoring costs associated with real time reporting and resources required for real time response
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• “Unaffordability” v. Indigency
  – DPCA strives to provide best information to Judges and develops statewide Financial Disclosure Report available at www.dpca.state.ny.us
  – Applicant required to complete and submit three copies to court
  – FDR Form captures offender’s income, assets and expenses, including monthly service for cell phone, cable/satellite television
  – Affordability, Payment Plan, Waiver Considerations
  – Manufacturers required to comply with Court authorized payment plan or waiver
  – Manufacturers agreements with NYS-DPCA assume a maximum of 10% statewide rate of “unaffordability” before renegotiation is considered—discuss experiences in other states

Implementing Leandra’s Law in New York State

• Issues for Counties—Payment Plans and Judicial Waivers “unaffordability”
  – Cost will be borne by drunk drivers and not taxpayers and localities
  – Statute provides for “payment plans” judicially “waived” fees and costs for IID’s for operators determined by sentencing courts unable to afford the cost. This is not “legal indigency”
  – Monthly lease fee equates to “gallon of gasoline a day”…..
  – DPCA will regularly monitor “unaffordability” determinations, number and percent of waiver units ordered and provide opportunity for manufacturers to adjust pricing
  – Use of statewide FDR is an important training issue
Robert M. Maccarone  
Deputy Commissioner of the NYS DCJS and  
Director of the Office of Probation and Correctional Alternatives  

Office of Probation and Correctional Alternatives  
518-485-2394  

Robert.Maccarone@dpca.state.ny.us  

Thank You! Questions