



Rossana Rosado  
Commissioner

Robert M. Maccarone  
Deputy Commissioner and Probation Director

Jessica Smith  
eFocus Editor

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### Caseload Explorer “CE Programs” — August 3, 2022 Demonstration

Special points of interest:

- > Professional Wellness
- > Probation Practice Tips
- > Training Unit Updates
- > Research In Brief
- > Recently Enacted Legislation

Included in the New York Plan for Raise the Age was the implementation of “Ce Programs”—an exciting new application that will permit Probation Officers to track client progress in RTA funded programs for youth. On August 1st, AutoMon will provide a demonstration of the functionality of “Ce Programs” and how it will populate Caseload Explorer. An invitation has been sent to all Probation Directors and they are free to include Probation Supervisors and Probation Officers. When fully implemented, each Probation Department will be able to preload all juvenile, and even adult department and community-based programs, and then receive reports on program enrollment, status, and completion. This web-based application will also enable community-based programs to provide information on the status of client program participation. “Ce Programs” will also enable New York State to measure the return on investment in youth justice programming and support the need for future funding.

### DCJS Commences Phase 4 of SOGIE Roll-out

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DCJS is providing training and technical assistance to probation departments to ensure fair and equitable treatment of all New Yorkers through the Sexual Orientation, Gender Identity, and Expression (SOGIE) Initiative. Some may recall that DCJS initiated this work in cooperation with the CERES Policy Institute and three pilot probation departments, Suffolk, Schenectady, and Onondaga. DCJS has recently expanded this work to New York City and Western New York, and is now planning Phase 4, which will provide training and technical assistance to eight counties in Mid-Hudson and Long Island. As you know, SOGIE has been incorporated into our recently updated Fundamentals of Probation Practice curriculum, and Anchor Trainers in local probation training academies have also received this important training. SOGIE data elements have been included in the Caseload Explorer automated case management system, but we have requested that probation departments utilize this reporting capacity only after having received this extended SOGIE training and technical assistance.

The SOGIE initiative includes data collection, training, and non-discrimination/confidentiality policy development for probation departments. Additionally, the project will support training and skill building for referral agencies providing services to LGBTQ/GNCT individuals.

## **DVSI-R Now Available to the NYC Department of Probation**

OPCA worked collaboratively with AutoMon to include the Domestic Violence Screening Instrument-Revised (DVSI-R) and make it available to all Probation Officers in the New York City Department of Probation through the Ce Assessment and Case Planning Program. The DVSI-R is used to inform the supervision of individuals convicted of domestic violence crimes.

## **Domestic Incident Report (DIR) Repository—An Essential Tool to Probation Officers**

The Domestic Incident Report (DIR) Repository is another essential tool for probation officers and should be consulted in completing all pre-disposition/sentence investigations and in developing all case plans for supervision. Probation Officers should not limit their utilization of the DIR Repository to cases involving domestic violence. Probation practitioners recognize that domestic and intimate partner violence is highly correlated with other crimes, including alcohol and substance use. Probation Department written policies should ensure that all probation officers have access to the DIR Repository and refer to it regularly as part of their casework. A best practice includes routine search of the Orders of Protection Registry. Related, Probation Officers are reminded that police arrest reports and police officer related comments can provide valuable insight into the attitudes and behaviors of the individual on supervision.

For additional information on probation's role in the management of domestic violence offenders, please refer to the Probation Response to Domestic Violence package available in the IJP: Resources > Reference Library > Probation > Specialized Supervision > Domestic Violence.

## **NYS Probation Commission Meeting Convened May 26**

The New York State Probation Commission convened virtually on May 26, for its semi-annual meeting. Commission members along with representatives from the New York State Council of Probation Administrators, the New York State Probation Officers Association, probation departments and OPCA. The group discussed the impact of COVID-19 on probation supervision, updated DCJS regulations, changes in peace officer training requirements, newly approved federal rules of the Interstate Commission for Adult Offender Supervision (ICAOS) as well as other adult and juvenile probation topics. In addition, the Commission welcomed two new members, Jefferson County Probation Supervisor Joseph Wargo and Dutchess County Probation Director Mary Ellen Still.

The next Probation Commission meeting is scheduled for Nov. 17.

In April of 2022, research was published from 2014-2017 on Monroe County Probation FOCUS (Female Offenders Can Ultimately Succeed) caseload as it relates to substance use, mental illness, health disparities and barriers to treatment. This was a pilot program funded through a grant that the University of Rochester and Monroe County Probation partnered. The Female Offenders Can Ultimately Succeed (FOCUS) program, aimed to meet women probation clients' complex needs and improve their probation outcomes. Comparing non-FOCUS to FOCUS women clients with Violations of Probation, FOCUS clients had more successful completions of probation (higher non-punitive Restorations of Probation and lower punitive Revocations of Probation). Read more [here](#).

### Congratulations to New IDC Instructors

DCJS congratulates the second-class of 2022 new instructors. They completed two weeks of Instructor Development Course training. The instructors were Master Instructor Emily Rufino with Darlene Paolini from Nassau Probation, Patricia Clements from DCJS OPCA, Captain Patti Bhola and Captain Jeremiah Tejada both of Westchester Department of Corrections.



From left to right, back row:

Shawna Cole, Westchester Probation; Brandon Hill, Highlands Police Department; Juan Adames, Westchester DOC; Master Instructor Emily Rufino; Robert Cucino, Westchester DOC; John Fournier, Westchester Probation; Benjamin Potts, Dutchess Probation

From left to right, front row:

Madelinea Ganzaroli, Westchester DOC; Krystal Tello, Rockland Probation; Danita Matthews, Westchester Probation; Amy Dehais, Putnam Probation; Dwight Allen, Westchester DOC; Michael Ancin, Rockland Probation

The following State Director's Memorandum is available through the IJP: Resources > Reference Library > Probation > Director's Memoranda

- **State Director's Memorandum #2022-4: Caseload Explorer – IPRS Connectivity**

### Professional Wellness

On May 25, the Office of Mental Health hosted a webinar titled "Addressing Community Grief and Trauma in the Wake of the Buffalo Tragedy." The presentation provided general information about the mental health effects of racism and violence, crisis response and helping others cope with grief and trauma. A recording of the webinar can be found [here](#).

May marked Mental Health Awareness Month and below are two articles regarding the importance of taking a break and assessing your own mental health.

[Mental Health Conditions Can Contribute to Suicide Risk](#)



[Taking a One-Week Break from Social Media Improves Well-Being, Depression, and Anxiety: A Randomized Controlled Trial](#)

### Probation Professional Practice Tips

#### *Trauma Informed Network*

Did you know that New York State Office of Mental Health and Coordinated Care Services, Inc. maintains a New York State Trauma-Informed Network? The trauma informed network, which connects advocates and systems to provide quality services and resources, can be found [here](#).

#### *Management of Individuals Convicted of Sex Crimes*

OPCA recognizes that the effective management of individuals convicted of sex crimes can present many challenges to probation professionals. Protecting our communities, reducing recidivism and preventing victimization are the goals of effective probation sex offender management. Research supports a comprehensive approach when managing this population which includes specialized supervision, clinical evaluation and treatment, and where appropriate, the use of polygraph. Additionally, ongoing communication between probation professionals, law enforcement agencies, treatment providers, victim advocates and people who are close to the individual under supervision are vital to effective supervision and management. As a means of best practice, departments should regularly review the following guidance documents: 1) Title 9 NYCRR Subtitle H Part 345 Probation State Aid Block Grant; 2) Part 365 Sex Offender Housing Procedural Guidelines; 3) New York State Probation Sex Offender Management Practitioner Guidance as well as their own department policy/procedure. In addition, probation departments are encouraged to regularly check the employer addresses of individuals on supervision to ensure that exposure to vulnerable populations is limited.

### *Rearrest Notifications*

Probation professionals are aware that when an individual on probation supervision from a criminal court is rearrested, notification of such rearrest is automatically sent to the Integrated Justice Portal inbox of the supervising probation department. These notifications, commonly referred to as “Hit Notices” are produced when fingerprint based rearrest information received by DCJS matches with an open case in the Integrated Probation Registrant System (IPRS). These rearrest notifications are a critical component of supervision, and commonly serve as the first step for a department in performing its duties and responsibilities pursuant to [Title 9 NYCRR §352.7 “Procedures for new offense violations for criminal supervision cases.”](#) However, with the passage of certain criminal justice reforms in recent years, oftentimes an individual is issued an appearance ticket and not subject to full processing by the police which previously occurred. In such instance, the individual may not be fingerprinted until they subsequently appear in court. Hence, the Rearrest Notification is not produced on the date of the individual’s contact with the police department, but rather from the fingerprinting which occurs at a later date. Probation directors are encouraged to communicate with the police agencies in their jurisdictions regarding this delay in fingerprint based notification, and request to be regularly provided with copies of appearance tickets issued for persons known to be on probation supervision. Receipt of an appearance ticket from the police for someone on probation supervision would then be the triggering event for the department to commence its duties pursuant to §352.7. Should you have any questions, please contact Gary Govel, Community Correction Representative 3 at (518) 457-4336 or [gary.govel@dcjs.ny.gov](mailto:gary.govel@dcjs.ny.gov).

### **Training Unit Update**

The OPCA Training Unit hosted its quarterly Training Committee meeting on May 17, which was attended by more than 50 probation professionals in New York State. Unit staff provided training updates and an overview of the 2022-23 training plan. OPCA plans to offer approximately 300 training sessions in a variety of topical areas including but not limited to: NYCOMPAS End User, CCW Coach Training, T4C Facilitator, T4C Booster, Interactive Journaling Courage to Change & Forward-Thinking Facilitator and Training for Trainer, Decision Points, Workforce Development Specialists, Lunch/Learn Webinars, Basic/Advanced Motivational Interviewing, Fundamentals of Community Corrections, Fundamentals of Probation Practice, Adolescent Development and Brain Science, SOGIE 101/201, Decision Points DUI, Ignition Interlock Enforcement, Assisting Individuals in Crisis, Girls Circle, Council for Boys and Young Men & Re-Entry Forums. The next Training Committee meeting is scheduled for Aug. 16; all prior meetings have been [recorded](#).

### **New York Probation Academy Directors Conference August 3 in Oriskany**

On Aug. 3, OPCA in collaboration with the local probation academies will convene the first forum in Oriskany. The NY Probation Academy Directors (NYPAD) will meet and discuss pertinent training and related topics, issues, and share ideas and resources. Statewide Networking of Probation Trainers is an essential goal as well. This year, NYPAD will focus on the Basic Course for Peace Officers (BCPO) curriculum to address the impending implementation date--Jan. 1, 2023, when all newly hired probation staff will need to complete the 162-hour/six-week Basic Course for Peace Officers as well as the Fundamentals of Probation Practice. NYPAD will develop uniform training aids that address the needs of probation practitioners. At this time, each of the seven probation academies plan to offer the BCPO and FPP trainings for all new probation officers.

**NYSPOA—July 27-29 in Syracuse**

The NYS Probation Officers Association (NYSPOA) Annual Conference will be held in Syracuse, New York July 27-29. The Annual Conference continues to be the premiere training opportunity for probation officers in New York State. OPCA is once again providing scholarships to probation officers, and OPCA will providing five workshops this year.

**NYS IID Eleventh Annual Conference –August 18 in Albany**

OPCA will be convening the Eleventh Annual Ignition Interlock Program Conference on August 18 in Albany—Empire State Plaza Convention Center in Albany. Please note that seating is limited and persons who have not pre-registered will not be allowed entry into the conference. Attendees must cover their own costs for travel and/or overnight accommodations. Additional event information and registration can be found [here](#).

**NYS DCJS 2022 Public Safety Symposium Sept 27-29 in Albany**

DJCS is bringing together criminal justice professionals within New York and across the nation for the 2022 Public Safety Symposium. The symposium convenes public safety and law enforcement executives, police command staff, prosecutors, community supervision personnel, crime analysts, researchers, community members and criminal justice practitioners from around the state and country. Over the course of three days, symposium presenters and attendees will share innovative ideas, evidence-based policies and practices, as well as updates on police reform and reinvention efforts. Professor Juliana Taymans, Ph.D., co-author of the “Decision Points- Driving Under the Influence” Curriculum will be presenting at the Symposium.

**Officer Wellness Training on August 23 in Albany**

DCJS OPCA and the NYS Office of Mental Health (OMH) will deliver a training on Officer Wellness for probation professionals training Tuesday, August 23. The target audience is Probation Directors/Commissioners, Peer Support Team Specialists and Probation Officers with 3+ years of experience. The training will address wellness tips and practices in supporting our trained peer team specialists as well as seasoned probation officers, to prevent employee burnout and tackle the challenges at work with a better mindset. Leadership will gain information and skills on the importance of supporting staff and the benefits of implementing peer support programs. Training announcement and registration information was emailed to Probation Directors/Commissioner and Staff Development Officers on July 19, 2022. Please contact [Danielle.Brendese@dcjs.ny.gov](mailto:Danielle.Brendese@dcjs.ny.gov) with any questions related to this training.

**FPP Valedictorian Stephanie Rogers**

OPCA formally recognizes Probation Officer Stephanie Rogers (Onondaga) for graduating first in her class at the Spring 2022 Fundamentals of Probation Practice Academy. Officer Rogers graduated the academy with an overall average of 97% over the four weeks of training. Congratulations to Officer Rogers and all the graduates of the Spring 2022 Fundamentals of Probation Practice Academy.

## Missing Persons Clearinghouse Hosts Poster Contest

On May 25, DCJS and the Missing Persons Clearinghouse hosted a poster contest award ceremony and breakfast at the Empire State Plaza in Albany, New York. Fifth graders from across the state create posters as part of a national poster contest to raise awareness about missing children. The top three students in New York, their parents and teachers were invited to Albany. The winning poster is below. Congratulations to all the students who participated!



## Juvenile Delinquency Probation Quarterly Workload Reports

OPCA recently shared with Probation Directors/Commissioners the Juvenile Delinquency (JD) Probation Workload tables. This quarterly report illustrates Juvenile Delinquency cases from 2017 through 2021, pre/post Raise the Age (RTA) at various probation contact points. Probation departments have been immersed in and responding to the multitude of legislative changes, including RTA, changes to the Family Court Act, as well as the COVID-19 pandemic. The county/city-level data of this five-year period (2017–2021) illustrate that statewide, JD intake cases opened peaked in 2019 when RTA was fully implemented have since declined to levels seen in 2017 prior to RTA implementation. JD adjustments rates improved in NYC by about 8% over this period while remaining relatively stable in the rest of state. While JD probation supervision cases fluctuated from year-to-year, they have decreased overall since 2017 with the greatest reduction in NYC. Since 2017 JD violations of probation filed have decreased dramatically in NYC, while in the rest of state they have fluctuated each year and are up slightly in 2021.

DCJS maintains a variety of Adult/Criminal and Youth Justice Data on our [public website](#). We are pleased to advise you that DCJS has recently added 2021 data to the portfolio of available youth justice data. On the webpage, scroll to **Youth Justice System Data**, where the following are available, by years 2019, 2020, and 2021:

- Youth Population Data (Age 7-17) by Age Group, Race/Ethnicity and Sex
  - Arrest / Criminal Activity; Five years of data detailing police contact with individuals younger than 18
  - Youth Part of Criminal Court processing, including removal activity
  - Youth Probation; including Juvenile Delinquent Probation Intakes & Supervision.
  - Family Court; Initial Juvenile Delinquent (JD) Petitions Filed and Disposed by County
  - Five-Year Trend Tables by Processing Point and Count
- Historical (pre-RTA) Juvenile Justice data

As a reminder, the Office of Children and Family Services makes youth detention data available on [their website](#). The availability of this data presents tremendous opportunities for Probation practitioners to analyze and evaluate outcomes in juvenile/youth probation case processing.

### **AWAKE to WOKE to WORK: Building a Race Equity Culture**

Equity in the Center works to shift mindsets, practices, and systems within the social sector to increase racial equity. We envision a future where nonprofit and philanthropic organizations advance race equity internally while centering it in their work externally. Get a copy of the publication [here](#).



### **Research in Brief**

Multidimensional Family Therapy (MDFT), a program seeking to reduce substance use and problem behaviors of youth with substance use disorders has been rated promising by the National Institute of Justice Crime Solutions – for reducing the number of crimes committed, substance use problems and delinquency behaviors. Read more [here](#).

Click [here](#), for more information on the National Institute of Justice CrimeSolutions research database.

### **Recently Enacted Legislation**

On May 4, Governor Kathy Hochul signed legislation ([S.6744/A.7981](#)) to protect the rights of students in New York by eliminating a sexist and racist term from certain sections of education law. Additionally, Gov. Kathy Hochul signed legislation ([S.6529/A.9391](#)), which explicitly prohibits discrimination, intimidation, and retaliation against proprietary school students who file a complaint or exercise their right of private action.



## Recently Enacted Legislation

On May 13, Gov. Kathy Hochul signed legislation ([S.8417B/A.9601B](#)) which expands protections for victims of domestic violence to areas of discrimination where they were not previously guaranteed, such as housing and public accommodations. This bill will expand coverage under the Human Rights Law and improve access to the Division of Human Rights complaint process for victims of domestic violence by prohibiting discrimination against victims of domestic violence in every context covered by New York's anti-discrimination law, including housing, education, and public accommodations.

On May 18, Gov. Kathy Hochul issued two Executive Orders ([EO 18](#) and [EO 19](#)) addressing Domestic Terrorism and Gun Violence. Governor Hochul unveiled a comprehensive package to combat the steady rise in domestic terrorism and violent extremism, strengthen and close loopholes in state gun laws and crack down on social media platforms that host and amplify content that promotes and broadcasts violent, lawless acts and endangers our communities. Included in Executive Order 18, every county and the City of New York must perform a comprehensive review of current strategies, policies, procedures, practices. Every county and the City of New York must develop and maintain a plan to identify and confront threats of domestic terrorism that includes racially or ethnically motivated violent extremists. This plan must include input from law enforcement, mental health professionals, school officials, and other key stakeholders within their jurisdiction and be submitted to the Division of Homeland Security and Emergency Services' Office of Counterterrorism on or before December 31, 2022. These plans will be used to inform funding distributions in forthcoming grant opportunities.

On May 24, Gov. Kathy Hochul signed the Adult Survivors Act ([S.66A/A.648A](#)), creating a one-year lookback window for survivors of sexual assault that occurred when they were over the age of 18 to sue their abusers regardless of when the abuse occurred. In 2019, New York passed the Child Victims Act, which created a one-year lookback window for survivors of childhood sexual abuse to file claims otherwise barred by the statute of limitations.

On June 30, Gov. Kathy Hochul signed legislation ([S.8975/A.7489](#)) which expands the eligibility of victims of unlawful dissemination or publication of an intimate image as defined in section 245.15 of the Penal Law (Revenge Porn). Under current law, to be eligible for reimbursement for certain crime-related expenses, one must be a physically injured victim of a crime. This bill allows uninjured victims of unlawful dissemination of intimate images to be reimbursed. This gives more power to victims of revenge porn acts and allows them to collect damages for what they endured.

On June 30, Gov. Kathy Hochul signed legislation ([S.8976/A.7502](#)) which expands the eligibility of victims of the crimes of reckless endangerment in the second and first degree. Under current law, to be eligible for reimbursement victims must be physically injured by reckless endangerment. This bill allows victims the ability to obtain compensation without having been physically injured, giving victims more power.

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## In Memoriam

**Jerry McElroy—Well Respected Criminal Justice Researcher, Professor, and Practitioner**

On May 20, Jerry McElroy peacefully passed away at the age of 82. He led research at the NYS Division of Criminal Justice Services from 1969 to 1977, and he served as Adjunct Professor at Fordham University--Rosehill during this time. He also served as the Associate Director of the Vera Institute of Justice from 1977 to 1989. He was a pioneer in the study and implementation of community policing and co-authored "Community Policing: The CPOP of New York", among numerous other publications. He later served as Director of the New York City Criminal Justice Agency, where he tirelessly sought to create fairness and enlarge justice in New York City. He was a well-respected national leader in Pretrial Services. He led NYCJA from 1989 until his retirement in 2016. DCJS sends deepest condolences to Jerry's family and colleagues.