



SFY 2021- 2022
SOLICITATION FOR PROPOSALS (REVISED)
SNUG Street Outreach and SNUG Social Work Program

KEY DATES AND NOTICES:

Release Date of Solicitation:	Thursday, July 22, 2021
Submission Deadline:	Friday, August 6, 2021
Estimated Total Program Funding:	\$550,000 SNUG Street Outreach \$260,000 SNUG Social Work
Deadline for Final Submission of Questions:	Wednesday, July 28, 2021
Response to Questions Posted:	On or about Friday, July 30, 2021
Notification of Awards:	On or about Friday, August 13, 2021
Anticipated Contract Start Date:	August 1, 2021

1. Proposals must be received by the submission deadline on-line via the DCJS Grants Management System (GMS). **Applicants who are not registered to access GMS will need to obtain user access to respond to this Solicitation.** See Appendix: *DCJS Grants Management System (GMS) Instructions and Helpful Hints.*
2. **Grants Gateway Pre-Qualification – To be considered for an award,** not-for-profit (NFP) applicants **must** be pre-qualified through the NYS Grants Gateway prior to the Solicitation submission deadline. **NFP applicants should begin this process as soon as possible.** See Appendix: *Grants Gateway Prequalification Requirement.*
3. Questions regarding this Solicitation must be emailed to dcjsfunding@dcjs.ny.gov. Responses to the questions will be posted on the DCJS website at <http://www.criminaljustice.ny.gov/ofpa/index.htm> on or about date indicated above. If the applicant has any general questions such as, “Did DCJS receive my e-mail?” please call (518) 457-9787. Please note that DCJS cannot answer substantive questions concerning this solicitation in any manner other than the e-mail method.
4. Applicants will be advised of award decisions via a letter of notification, which will be emailed to the address provided by applicant in GMS. Where applicable, applicants not receiving an award will receive notification via email to the contact email provided in GMS. A debriefing is available to any entity that submitted a proposal in response to this solicitation who is not successful in receiving an award. (See Section: Notification of Awards.)
5. Unless otherwise modified by DCJS, the contract period for this grant opportunity will be for one (1) year starting no earlier than August 1, 2021 subject to available funding.

SFY 2021- 2022 SNUG Street Outreach and SNUG Social Work Program Solicitation for Proposals (Revised)

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I. INTRODUCTION

The New York State Division of Criminal Justice Services (DCJS) seeks proposals to fund a SNUG Street Outreach and SNUG Social Work program in the city of Rochester.

Approximately five hundred and fifty thousand dollars (\$550,000) of state funds are expected to be available to fund the SNUG Street Outreach Program and two hundred and sixty thousand dollars (\$260,000) of federal funds are expected to be available to fund the SNUG Social Work program. The two programs will work in tandem and the two grants will be awarded to the same successful bidder. Applicants should submit one proposal describing how they will administer both programs.

The SNUG Street Outreach program is an evidence-based, violence reduction initiative that treats gun violence as a disease by identifying its causes and interrupting its transmission. There are currently 11 DCJS SNUG Programs across New York State. At each SNUG site, outreach teams of “credible messengers” – individuals who are hired from within the communities in which they work and have backgrounds similar to those with whom they aim to connect – mentor the highest risk youth with an emphasis on conflict mediation and violence prevention.

The SNUG Social Work program is an innovative partnership between DCJS and the NYS Office of Victim Services (OVS) that allows the DCJS SNUG programs to address trauma experienced by program participants and staff and improve access to victim services for participants and their families. OVS has committed federal funding which allows the SNUG Street Outreach sites to hire social workers and case managers trained to best address the ways in which constant exposure to violence affects youth served by the program, their communities, and SNUG staff.

DCJS enhances public safety by providing resources and services that inform decision making and improve the quality of the criminal justice system. DCJS is a multi-function criminal justice support agency with a variety of responsibilities, including collection and analysis of statewide crime data; operation of the DNA databank and criminal fingerprint files; administration of federal and state criminal justice funds; identifying and funding programs that reduce crime, recidivism, and victimization. Additionally, DCJS administers the state’s Sex Offender Registry. DCJS conducts research on critical criminal justice issues and provides training, legal guidance and regulation to the State’s law enforcement, community corrections and prosecutorial communities.

DCJS is committed to providing programs that improve the effectiveness of New York’s justice system. Proposals will be rated and selected for funding consistent with the best interests of the state. Applicants are encouraged to demonstrate in their response to this solicitation how their proposal supports New York’s commitment to public safety. Nothing herein requires DCJS to approve funding for any applicant.

II. FUNDING, APPLICANT ELIGIBILITY AND CONTRACT TERM

A. Funding

Approximately \$550,000 will be made available to support one (1) SNUG Street Outreach program and approximately \$260,000 will be made available to support one (1) SNUG Social Work program at the same agency. All funding must support program efforts that will be accomplished during the contract period. Funding under this program must supplement, not supplant, non-grant funds that would otherwise be available for expenditure on the programs proposed. Awards are subject to the availability of funds.

1. Availability and Approved Use of State and Federal Funds

The SNUG Street Outreach program will be funded with state funding. All state funded expenses will be subject to DCJS and the New York State Office of the State Comptroller oversight. The SNUG Social Work program will be funded using federal Victims of Crimes Act (VOCA) funding provided to DCJS through an agreement with the state Office of Victims Services (OVS). The federal funding will be subject to additional oversight by OVS and the federal Office for Victims of Crime (OVC).

B. Applicant Eligibility Requirements

Eligible applicants are limited to not-for-profit organizations and local hospitals proposing to serve a designated area within the city of Rochester. Government-run programs are not eligible applicants, nor are they eligible to receive subawards from, or enter into subcontracts with, successful (eligible) applicants for a portion of available grant funds.

C. Contract Term

Grant award agreements, unless otherwise modified by DCJS, will be for a term of one (1) year starting no earlier than August 1, 2021.

III. PROGRAM DESCRIPTION

A. SNUG Street Outreach Program

The SNUG Street Outreach program started as an outreach initiative in New York State in 2009, and several project sites were established across the state. Over time, New York State developed its own evidence-based outreach SNUG program model. The DCJS SNUG model includes critical program elements such as a dedicated statewide Program Director and dedicated Training Director, who partner in overseeing all 11 sites and ensuring consistency in staff training and operating procedures, and in maintaining effective cooperative relationships with police officials in the jurisdictions they serve. In each of the 11 municipalities they serve, SNUG programs target specific blocks or neighborhoods with a high volume of shootings and violence, known as “hot spots” or “target areas.” The program employs street outreach workers who live in the communities where they work, many of whom had previously been engaged in low-level violence and served terms of incarceration. They are viewed as “credible messengers”

because they have had similar experiences as the youth they aim to help: predominantly, boys and young men who are 14 to 25 years old and at high-risk for involvement with guns and violence. By employing individuals who otherwise may have difficulty finding employment, SNUG is a model for effective re-entry practices and is one of the state's largest and strongest re-entry programs.

Street Outreach Workers respond to shootings to prevent retaliation and to assist family members of those who have been injured or killed. They engage the community, religious organizations and clergy, and local businesses through rallies and special events. Outreach Workers also meet with high-risk youth involved with the program to set goals with an emphasis on providing educational and job opportunities. Additional services include drug and alcohol treatment, education and college preparations, resume building, job training readiness and referrals, anger management courses and other resources to promote positive life skills.

Each SNUG site also must implement a coordinated, community-based violence reduction strategy that engages and collaborates with neighborhood organizations and other community groups to organize events and public education activities that promote anti-gun violence messages. The goal of the program is to reduce shootings and firearm-related homicides; save lives; and, improve community safety by changing behaviors, attitudes and social norms related to gun violence.

New York State is making approximately \$550,000 available to support one local outreach and violence intervention project that aims to reduce firearm-related violence in a designated area within the city of Rochester that has consistently experienced high volumes and rates of homicides and shootings. The proposed project supported with funds awarded from this solicitation must be designed and implemented with fidelity to the DCJS SNUG Street Outreach program. The proposed project must utilize street outreach workers to stem local violence in the targeted areas. The SNUG Outreach program has been successful when it is located in a hospital setting at other sites in the state. Proposals from hospital models are required to house both the Street Outreach and Social Work staff at the same location. Hospital models must be built around the DCJS SNUG Street Outreach model by employing a full-time project manager, full time street outreach workers and use the hospital setting to identify potential candidates for participation. They may use additional hospital resources, such as medical professionals and social workers, to support their anti-violence work with participants.

All proposed projects should be focused on implementation of a coordinated, community-based strategy for reducing violence in two specified target areas. Proposals must address the gun-violence areas designated within the city of Rochester, having high rates of gun violence and work through community-based organizations, residents and other individuals that are well positioned to work with high-risk youth and young adults. (See Appendix: *Target Areas - City of Rochester East and West.*) The applicant for these funds must clearly demonstrate that the proposed strategy will maintain program fidelity to the SNUG Street Outreach model.

B. SNUG Social Work Program

The SNUG Social Work Initiative is an innovative partnership between DCJS and the state Office of Victim Services (OVS) that will allow the SNUG program to address trauma experienced by program participants and staff, and improve access to victim services for participants and their families. OVS funding will allow the SNUG Street Outreach sites to hire social workers and case managers trained to better address the ways in which constant exposure to violence affects youth served by the program, their communities, and SNUG program staff.

Grant-funded Social Workers and Case Managers will complement and strengthen the services provided by the SNUG Street Outreach program. The goals of the SNUG Social Work Program will be threefold: 1) Bridge the gap between victims of crime, specifically young men of color, and crime victim services offered by OVS; 2) Strengthen the SNUG Street Outreach program adding trauma-informed clinicians to provide more support to SNUG participants and community members affected by violence; and, 3) Provide therapeutic support and guidance to SNUG team members, especially in times of crisis, recognizing the complex trauma histories of team members.

A DCJS-employed social work director and two regional social work supervisors oversee social workers and case managers employed at each SNUG site. This administrative structure ensures that the social work initiative has the same support, training and oversight that has been critical to the SNUG Street Outreach program's success. Each location has at least one case manager and one social worker, depending upon need. The staff receives comprehensive training about the SNUG Street Outreach program. SNUG Social Workers will receive intensive training to provide evidence-based, trauma-informed care; lead support groups for SNUG participants and staff; and work closely with families of homicide victims.

IV. SNUG PROGRAM MODEL REQUIRED PRACTICES

A. SNUG STREET OUTREACH Program

1. Staffing

The funded SNUG Street Outreach project must maintain and follow the SNUG Street Outreach program design as outlined below:

a. Community Member Staffing

Staff must be "credible messengers," trusted community insiders with backgrounds similar to the high-risk youth being served. They may live in the community and should be known to high-risk people. Staff may be former gang members, individuals who have prior convictions related to firearms, others who have been previously incarcerated or served a sentence of probation, or individuals from other high-risk groups. They must be individuals who have clearly demonstrated positive changes in their lives and turned away from crime.

Credible Messengers can also be community members who have familiarity and comfort working with a high-risk population. They should possess long term knowledge of the target areas and community and be connected through schools (coaches), religion, extended family relationships and human services connections.

b. Staffing Team

Street Outreach Workers, with one acting as an Outreach Worker Supervisor for each target area, will maintain a caseload of high-risk individuals who, because of their background and present situations, are involved in or likely to become involved in shootings. The street outreach workers meet and work with those they assist in non-traditional settings – parks, corners, places young people gather – during non-traditional hours when local data indicate violence is most likely to occur, particularly evenings and late-night hours and on weekends. Outreach staff work to mediate and intervene in conflicts and provide case management and other support.

A Program Manager supervises staff, oversees the overall coordination of the model's core components, and works with other community organizations and individuals on anti-violence strategies. The Program Manager is also expected to liaise with the Police to receive monthly information, data and updates as needed.

2. Panels to Hire Staff

The use of hiring panels made up of key community stakeholders (including, but not limited to, community based organizations, community advocates, and local government officials) and SNUG Street Outreach Staff and SNUG Social Work staff, ensures community input in hiring the right people and obtaining community buy-in and investment in the program. Local law enforcement will also be expected to provide input into hiring.

3. Training and Technical Assistance

DCJS employs a statewide director to oversee the funded programs, coordinate training, serve as a liaison between funded programs and law enforcement in each community, and facilitate sharing of best practices among the programs. The agency also employs a statewide training director who works with each site; develops training programs for all SNUG staff; and monitors outreach teams during their training, and in the field, to ensure that staff is performing their work appropriately and properly documenting their efforts.

All Street Outreach Workers hired to work at SNUG sites must successfully complete the 40-hour SNUG Education Training (SET) program. Outreach Worker Supervisors, Program Managers and staff from the nonprofit organizations that administer the SNUG programs also attend a 32-hour management and supervisory training course. The Training Director also instituted a monthly video conference training during which Program Managers share topics they researched

with their peers, including interviewing techniques, documenting client contacts and job readiness. Outreach Worker Supervisors also attend monthly training. In addition, all SNUG employees must complete 24 hours of approved training annually. The following training have been offered to meet that requirement: Approaches to Violence Prevention; Dealing with Grief and Trauma; Critical Thinking Facilitation; Case Management Notetaking; and Assisting Individuals in Crisis.

Representatives from all funded sites convene biannually for training, program evaluation and to share best practices. The agency offers training for Outreach Workers exploring the issue of PTSD and partners with the state Office of Victim Services to educate SNUG program staff about eligibility guidelines and the resources that may be available to crime victims and their families so that SNUG staff can relay that information to program participants.

4. Relationship with Law Enforcement

Well-defined and appropriately structured relationships with local law enforcement are essential to the project's acceptance and success. The shared goals of saving lives and making neighborhoods safe should be emphasized. The successful applicant will be required to meet with their local law enforcement representative(s) and crime analysts at least once per month to obtain and review current crime data, top offender lists and other pertinent information. The successful applicant must also arrange to be immediately notified by police representatives of all shootings involving injury or death in the target area.

5. Active Engagement of Critical Partners

All SNUG Street Outreach programs will include active support and collaboration with both law enforcement, as outlined above, and community-based organizations and leaders. The proposed project should include a structure for eliciting the active engagement of all these partners, including regular meetings with law enforcement and community-based partners, who are critical to the project's success.

6. Public Education and Community Mobilization

Community mobilization focuses on energizing and strengthening collaboration among residents, local businesses, service organizations and members of the faith community to build a safer and more viable community. Project staff should organize community responses to every shooting, coordinate public education campaigns and hold other community events that promote anti-violence messaging and alternatives to violence.

7. Standardized Data Procedures

Project staff must collect, maintain and report data in a prescribed format and time frame, which includes the SNUG Street Outreach Database, as specified by DCJS.

B. SNUG Social Work Program

The SNUG Social Work/Case Management Initiative is an innovative partnership between DCJS and the state Office of Victim Services (OVS) that will allow the SNUG program to address trauma experienced by program participants and staff and improve access to victim services in the SNUG cities. By hiring social workers and case managers at all 11 SNUG sites, the program will be equipped to better address the constant exposure to violence that affects youth and families served by the program, their communities, and SNUG staff.

The funded SNUG Social Work project must maintain and follow the program design as outlined below:

1. Staffing Team

- a.** The SNUG Social Worker will be a licensed (LMSW) social worker who will be able to provide clinical mental health services at their SNUG sites. They will work onsite as a member of the SNUG team to support SNUG staff in their current work with high-risk individuals affected by community violence. They will also work with victims of community violence and their families and provide them with either short or longer-term therapeutic services.
- b.** The SNUG Case Manager will be located at the SNUG office and will be supervised by the SNUG site-based social worker. The case manager will be assisting the social worker in providing case management services to victims of crimes. Among other things, this will include assisting clients with navigating court and medical appointments, applying for compensation through OVS, and connecting individuals to educational/vocational services.

2. Panels to Hire Staff

The use of hiring panels made up of key community stakeholders (including, but not limited to, community based organizations, community advocates, and local government officials) and SNUG Street Outreach Staff and SNUG Social Work staff, ensures community input in hiring the right people and obtaining community buy-in and investment in the program. Local law enforcement will also be expected to provide input into hiring.

3. Training and Technical Assistance

DCJS employs a statewide SNUG Social Work Director to oversee the federally funded social work program and coordinate training. Two clinical social work supervisors (LCSWs) are employed by DCJS to provide clinical supervision to the site-based social workers.

4. Standardized Data Procedures

Project staff must collect, maintain and report data in a prescribed format and time frame, which includes the SNUG Social Work Database, as specified by DCJS.

V. EVALUATION AND SELECTION OF APPLICATIONS

Tier I Evaluation – Threshold Pass/Fail

The Tier I Evaluation assesses whether proposals satisfy minimum “pass/fail” criteria for funding. All proposals will be initially screened by DCJS reviewers to determine their completeness using the following criteria:

- Proposal was received by the published deadline;
- Applicant and sub-applicant are eligible as defined by this solicitation, and
- Applicant is an eligible, pre-qualified entity in the Grants Gateway. (*This pre-qualification requirement applies only to not-for-profit applicants.*)

The submitted proposals shall include:

- Answers to all questions as presented;
- Two budgets in GMS, one for the SNUG Street Outreach and one for SNUG Social Work program – the budget itemizes operating expenses in support of the program;
- Program Work Plan tab completed in GMS; and
- All attachments and required documents as specified in the Solicitation.

Tier I Evaluation criteria will receive pass/fail ratings. Any proposal that does not meet each of these conditions may be subject to disqualification from further review. DCJS may, at their discretion, request additional information from an applicant as deemed necessary.

Tier II Evaluation – Evaluation and Scoring

DCJS staff reviewers will evaluate proposals that successfully pass the Tier I Evaluation. A standard rating tool will be used to score responses to questions provided in the proposal (see *Questions* section for additional information). The maximum proposal score will be 100 points. Each response will be scored, and all scores will be totaled, resulting in an overall score. Applicants must attain a minimum proposal score of 70 points to be eligible for funding. The final score will be determined by averaging Team Reviewers’ overall scores for each proposal. DCJS may, at their discretion, request additional information from an applicant as deemed necessary. Also, in the event of a substantial scoring disparity, defined as 15 or more points of the total available points, an additional reviewer may rate the affected proposals and the average of all the scores will determine the final average score of the proposal. In the event of a tie score among applicants, and where both applicants cannot be selected, an additional reviewer will rate the affected proposals and the average of the scores will represent the final score.

Tier III Evaluation

The Tier III Evaluation assessments will be conducted by designated DCJS executive staff. During the Tier III Evaluation, an applicant shall be selected for funding and award amounts shall be determined through consideration of some or all of the following: Tier II Evaluation

scoring and comments, strategic priorities, available funding, and best overall value to New York State.

Final award decisions will be made by DCJS in accordance with the best interests of the State. Nothing herein requires DCJS to approve funding for any applicant.

The DCJS Executive Deputy Commissioner or designee will make the final decisions regarding approval and individual award amounts based on the quality of the submission, the recommendations of the reviewers, and specific criteria set forth in this solicitation.

VI. SOLICITATION QUESTIONS

Applicants must respond to the questions below within the DCJS Grants Management System (GMS), as instructed. **GMS instructions are located as an Appendix to this solicitation.** Please prepare prospective responses in a Microsoft Word Document using Arial, 11-point font and 1.5 line spacing and attach Word document to GMS with the Application as well as answer the questions below directly in GMS.

Responses to the following questions will be scored and are the basis for Tier II Evaluation funding recommendations. Note: The aggregate scoring value assigned to all questions equals a total possible score of 100 points. Applicants must attain a minimum proposal score of 70 points to be eligible for funding. All questions, including sub-sections and those which have no point value, must be answered.

Solicitation Questions:

A successful application must include responses to the questions and/or requirements as outlined in each of the following sections:

1. Executive Summary (20 points)
2. Community Profile (20 points)
3. Agency Experience and Qualifications (30 points)
4. Social Work Program (10 points)
5. Operating Budget (20 points)

Each of the following sections appears and must be completed in DCJS's Grants Management System (GMS).

1. Executive Summary (20 points)

This section provides a brief overview of the applicant or implementing organization and the proposed project.

Applicants must provide a brief executive summary (not to exceed 300 words) that summarizes and highlights the experience which uniquely qualifies it to accomplish the goals of this Solicitation to reduce and prevent gun violence in the designated target

areas. Please refer to Appendix: *Target Areas – City of Rochester East and West* to review the current targeted areas to be served in the city of Rochester.

2. Community Profile (20 points)

Describe how the proposed project will fit into the current landscape of violence prevention work in Rochester. If the proposed project is to be coordinated with existing community projects, please describe how you will accomplish this.

- a. Describe the applicant's relationships with the community to be served. This section should demonstrate
 - i. The applicant's credibility within the specified target area
 - ii. Plans for further community engagement in relation to the proposed initiative.

3. Agency Qualifications and Experience (30 points)

This section establishes the applicant's capacity for implementing the project with fidelity to the SNUG Street Outreach model. It should reflect the qualifications and experience of the organization that will be primarily responsible for implementing the proposed strategy's core components. Please address the following items in this section in your response:

- a. Briefly explain the function of the applicant (in addition, if the implementing entity is different from applicant, provide the information requested herein for both the applicant and the implementing agency, and include the entity's name).
- b. Provide an explanation of what makes the applicant qualified to successfully administer the proposed project by outlining its capacity and relevant experience in the areas listed below. If the applicant does not have applicable experience in some area, describe a plan for identifying and engaging partners or consultants that do have the necessary expertise or ability.
 - i. Describe the experience that prepares the applicant for hiring, use of hiring panels, training, managing and retaining staff consisting of former gang members, individuals who have prior convictions related to firearms, those who have been previously incarcerated or served a sentence of probation, those who have limited traditional workforce experience, or individuals from other high-risk groups.
 - ii. The program requires that SNUG staff perform routine direct entry of data regarding daily activities into the SNUG database. Describe the applicant's experience with such tasks (if any), and its ability to supervise and support staff in such efforts.

- iii. Attach copies of the applicant's employee and client drug screening policy or the applicant's timeframe to develop and implement such policy.
 - iv. Summarize the applicant's experience with managing direct services. Provide examples of work with older adolescents and young adults who are at high risk of involvement in shootings and killings.
 - v. Describe any prior experience(s) the applicant has working with the local police. Please describe any interactions occurring during the last three years with law enforcement. Describe joint projects, if any, and any other work in which the applicant and the police have been involved together.
 - vi. Identify the applicant's key partners which are critical to the project's success and describe the method that will be used to have regular meetings with such key partners.
 - vii. If the project will have a physical office that is located in, or in close proximity to, the identified target areas, provide details on how that will be accommodated. Describe the plans to provide appropriate working space for employees and meeting space for the clients.
- c. Address whether either the applicant or the implementing entity is or was the subject of any completed, current, pending or ongoing investigation(s) by any federal, state, or local authority for criminal, civil or regulatory violations. Include the current status and/or outcome, if known.

4. Social Work Program (10 points)

This section establishes the applicant's capacity for implementing the SNUG Social Work program. It should reflect the qualifications and experience of the organization that will be primarily responsible for implementing the proposed strategy's core components. Please address the following items in this section:

- a. Describe any current or past experience the agency has employing social workers and case managers. Identify any programs or resources run by the applicant's agency that provide social services within the community.

5. Operating Budget (20 points)

- a. Applicants must enter an operating budget directly into the Budget module "tab" of the GMS application for the SNUG Street Outreach Program and the SNUG Social Work Program. Please see the sample budgets provided in Appendix: *Sample Budgets*, as applicants are strongly encouraged to enter the sample budget provided in GMS as part of their application. Two budget versions should be entered. On the BUDGET tab click on "Create new Budget version". After one budget version has been entered for the SNUG

Street Outreach Program, click on “Create New Budget Version” again to enter a second budget version for the SNUG Social Work Program.

Applicants may enter their own budget and not use the sample provided if they choose. DCJS will work with the successful applicant during contract development to finalize a budget that is acceptable to both DCJS and the successful applicant.

Please be aware of the following budget information:

- The SNUG Street Outreach program budget should be weighted so that 80% of the budget is dedicated to Personnel Services and 20% should be weighted towards “other than personnel services” (OTPS). The SNUG Street Outreach budget should include at least one Program Manager, one Outreach Worker Supervisor for the target area and two or three Outreach Workers. Other OTPS expenses to consider, but not limited to, equipment, office supplies, program supplies, travel, rent, uniforms, community and participant events and indirect costs.
- The SNUG Social Work Program budget should include two (2) Social Workers and one (1) Case Manager. Other than personnel services (OTPS) expenses to consider include, but not limited to equipment, supplies, travel, rent, services for victims and indirect costs.
- Consultant Services: All consultant services must be obtained in a manner that provides for fair and open competition. The rate for a consultant should not exceed \$650 for an eight-hour day (not including travel and subsistence costs). A rate exceeding \$650 per eight-hour day requires prior written approval from DCJS and may be approved on a case-by-case basis where adequate justification is provided and expenses are reasonable and allowable. A grant award recipient obtaining consultant services that cost in excess of \$10,000 must use a competitive bidding process. A grant award recipient who proposes to obtain consultant services from a particular vendor without competitive bidding, will be required to obtain the prior written approval of DCJS. The request for approval must be in writing and set forth, at a minimum, a detailed justification for selection and basis upon which the price was determined to be reasonable. Further, such consultant services must be in accordance with the guidelines, bulletins and regulations of the NYS Office of the State Comptroller, State Procurement Council and, if applicable, the U.S. Department of Justice.

Note:

Not-for-Profits: Funding for indirect costs, including administrative, is capped at a rate of 15%.

VII. NOTIFICATION OF AWARDS

Applicants approved for funding will be notified in writing by DCJS via email to the email address provided in the Grants Management System (GMS). The terms of the final contract agreement are subject to negotiation between DCJS and the grantee.

For those not approved to receive funding awards, notifications will be emailed to the contact person using the email address provided in the GMS.

A debriefing is available to any entity that submitted a proposal in response to this solicitation and was not successful in receiving an award. Applicants will be accorded fair and equal treatment with respect to an opportunity for a debriefing. A debriefing shall be requested in writing by the unsuccessful Applicant within 15 calendar days of being notified in writing by DCJS that the Application was not selected for an award.

An unsuccessful Applicant's written request for a debriefing must include specific questions that the Applicant wishes to be addressed, and must be submitted to DCJS via the funding mailbox at dcjsfunding@dcjs.ny.gov with the following in the subject line: **Request for Debriefing NYS SNUG Street Outreach and SNUG Social Work Program**. The debriefing shall be scheduled to occur within 30 days business days of receipt of written request by DCJS or as soon after that time as feasible.

The preferred method for the debriefing will be in-person; however, upon mutual agreement by all parties, another means such as via telephone, webinar, or any combination thereof may occur.

If DCJS and a successful award recipient cannot agree to contract terms within ninety days of notification of selection for award, DCJS reserves the right to rescind the award and redistribute the funds.

VIII. REPORTING REQUIREMENTS

Reporting requirements are provided below:

GMS Quarterly Progress Reporting

All grantees will be required to submit quarterly progress reports via GMS that describe quarterly performance and activities in support of the project Work Plan entered in GMS.

Program-Specific Reporting

The SNUG Street Outreach Program - Grantees are required to enter daily data collection in the SNUG Database.

SNUG Social Work Program – Grantees are required to collect and report data as required by the Victims of Crime Act (VOCA) on a quarterly basis for the SNUG Social Work program.

Quarterly Fiscal Reports

Grantees will be required to submit quarterly fiscal reports and claims for payment.

Reporting Due Dates:

GMS Progress Reports and Fiscal Claims for Payment (formerly known as State-Aid Vouchers) are due to DCJS by the following dates:

<u>Calendar Quarter</u>	<u>Report Due</u>
July 1 - September 30	October 31
October 1 - December 31	January 31
January 1 - March 31	April 30
April 1 - June 30	July 31

IX. ADMINISTRATION OF CONTRACTS

DCJS will negotiate and develop a contract with the successful applicant. If DCJS and the successful applicant cannot agree to contract terms within ninety days of notification of selection for award, DCJS reserves the right to rescind the award and redistribute the funds.

It is DCJS' intent to grant two awards to one agency/organization to deliver both the SNUG Street Outreach and the SNUG Social Work Program.

The SNUG Street Outreach program will be funded with state funding. Reimbursement for all state-funded expenses will be subject to DCJS and the New York State Office of the State Comptroller oversight. The SNUG Social Work program will be funded using federal Victims of Crimes Act (VOCA) funding provided to DCJS through an agreement with the state Office of Victims Services (OVS). The federal funding will be subject to additional oversight by OVS and the federal Office for Victims of Crime (OVC). VOCA federal special conditions can be found here: <https://ovs.ny.gov/sites/default/files/contract-form/ffv-2018-federal-special-conditions.pdf>

Contract Approval

A grant contract may be subject to approval by the NYS Office of the Attorney General (OAG) and the Office of the State Comptroller (OSC) before funding may be disbursed. For contracts subject to the approval of the Attorney General and the Comptroller of the State of New York, the Contract shall be of no force and effect until said approval has been received and indicated thereon.

Contract Term

DCJS will enter into a contract period as noted in this solicitation. DCJS reserves the right to modify the contract term in the best interests of the State.

Contract Activities

All activities must have prior approval from DCJS and meet the guidelines established by the State of New York.

Contract Changes

Contracts resulting from this solicitation may be executed, increased, terminated, renewed, decreased, extended or amended or renegotiated for any reason at the discretion of the Executive Deputy Commissioner of DCJS because of contractual performance, changes in project conditions, or as otherwise may be in the best interests of New York State.

Records

The grantee will keep books, ledgers, receipts, personnel time and effort records, consultant agreements and inventory records pertinent to the project and consistent with DCJS contractual provisions and mandated guidelines. In accordance with the standard contract (see "Standard Contract Provisions" below), grantee staff whose salaries are paid in whole or in part from grant funds shall maintain a time recording system that shows the time and effort devoted to the grant project.

Liability

Nothing in the contract between DCJS and the grantee shall impose liability on the State of New York for injury or damages incurred during the performance of approved grant activities or caused by the use of equipment purchased with grant funds.

Payments

Payments to reimburse project expenses will be made pursuant to a schedule specified in the contract between the State of New York and the grant award recipient. Where applicable, performance-based expenses will be reimbursed in compliance with the contract milestone performance and costs budget and the project work plan. Payment for invoices submitted by the Contractor shall be rendered electronically only unless payment by paper check is expressly authorized by the Commissioner, in the Commissioner's sole discretion, due to extenuating circumstances. Such electronic payment shall be made in accordance with ordinary State procedures and practices. The Contractor shall comply with the State Comptroller's procedures to authorize electronic payments. Authorization forms are available at the State Comptroller's website at www.osc.state.ny.us/epay/index.htm, or by email at epayments@osc.state.ny.us. Contractor acknowledges that it will not receive payment on any invoices submitted under this Contract if it does not comply with the State Comptroller's electronic payment procedures, except where the Commissioner has expressly authorized payment by paper check as set forth above.

To enroll in e-Payments:

- Log in to the [Vendor Self-Service Portal](#)
- Select the "Enroll in e-Payments (Direct Deposit)" link
- Follow the prompts to complete your enrollment

Don't have an account? [Enroll in the Vendor Self-Service Portal](#)

Update or add additional bank accounts

See the section *Update or Add Locations* at [Update Vendor Information and Access](#).

For assistance, email ePayments@osc.state.ny.us

Reports

The grantee shall submit all reports to DCJS in a format and time frame as specified in the contract. Quarterly progress reports shall include a description of the grantee's efforts undertaken during the reporting period and the current status of the project. The quarterly progress reports of the grantee's activities under this contract must be submitted electronically as directed by DCJS. Independent of any reporting schedule, all grantees will be required to inform DCJS of any program issues that are significantly impacting program performance. Any project funded under this solicitation must comply with the requirements established by DCJS. The grantee agrees to submit any other reports considered relevant by DCJS. Should a grantee receive DCJS funding, compliance to reporting requirements imposed during the contract period may be considered when determining future award amounts.

Performance Review

The grantee's performance in all areas mentioned above, in addition to the services contracted for, will be monitored periodically by DCJS and will take the form of site visits, program file review, written, virtual and telephone communication, and any other methods deemed necessary by DCJS to ascertain the quality and quantity of grantee activities.

Disposition of Allocations

DCJS reserves the right to reject applications, deny awards, or defer applications for future consideration based on insufficient information in the application, lack of accompanying documentation, the inappropriateness of the project proposed, an organizational history of unsuccessful projects of a similar nature, or a history of contract non-compliance.

Revocation of Funds

Funds awarded to an applicant who does not implement an approved project within 90 calendar days of the contract start date may be revoked and redistributed at the discretion of the Executive Deputy Commissioner of the DCJS or his or her designee.

Encouraging Use of New York State Businesses in Contract Performance

New York State businesses have a substantial presence in State contracts and strongly contribute to the economies of the State and the nation. In recognition of their economic activity and leadership in doing business in New York State, applicants for this solicitation are strongly encouraged and expected to consider New York State businesses in the fulfillment of the requirements of the contract(s) resulting from this solicitation. Such partnering with New York State businesses may be as subcontractors, suppliers, protégés or other supporting roles. To assist in demonstrating commitment to the use of New York State businesses in the performance of the contract(s), all applicants must complete the form provided on the DCJS website at <https://www.criminaljustice.ny.gov/ofpa/applcngntfrms.html> entitled: *Encouraging Use of New York State Businesses in Contract Performance* (scroll down to Letter G and item #4) and submit the completed form as an attachment to their application in GMS. There are no points attributable to this component of the application.

Use of Service-Disabled Veteran-owned Business Enterprises in Contract Performance

Article 17-B of the Executive Law enacted in 2014 acknowledges that Service-Disabled Veteran-Owned Businesses (SDVOBs) strongly contribute to the economies of the State and the nation. As defenders of our nation and in recognition of their economic activity in doing business in New York State, bidders/proposers that contract for commodities, services or technology are strongly encouraged and expected to consider SDVOBs in the fulfillment of the requirements of any contract resulting from this solicitation. Such partnering may be as subcontractors, suppliers, protégés or other supporting roles. SDVOBs can be readily identified on the directory of certified businesses at <https://online.ogs.ny.gov/SDVOB/search>.

Bidders/proposers need to be aware that awardees will be strongly encouraged to the maximum extent practical and consistent with legal requirements of the State Finance Law and the Executive Law to use responsible and responsive SDVOBs in purchasing and utilizing commodities, services and technology that are of equal quality and functionality to those that may be obtained from non-SDVOBs. Furthermore, bidders/proposers are reminded that they must continue to utilize small, minority and women-owned businesses consistent with current State law. Utilizing SDVOBs in State contracts will help create more private sector jobs, rebuild New York State's infrastructure, and maximize economic activity to the mutual benefit of the contractor and its SDVOB partners. SDVOBs will promote the contractor's optimal performance under the contract, thereby fully benefiting the public sector programs that are supported by associated public procurements.

Public procurements can drive and improve the State's economic engine through promotion of the use of SDVOBs by its contractors. The State, therefore, expects bidders/proposers to provide maximum assistance to SDVOBs in their contract performance. The potential participation by all kinds of SDVOBs will deliver great value to the State and its taxpayers.

Bidders/proposers can demonstrate their commitment to the use of SDVOBs by responding to the questions on the form located at <https://www.criminaljustice.ny.gov/ofpa/applcngntfrms.html> (scroll down to letter E item #1) and attach the completed form, along with your Application, to the NYS Division of Criminal Justice Services' Grants Management System (GMS). There are no points attributable to this component of the application.

Standard Contract Provisions

Any contracts negotiated as a result of this solicitation will be subject to the provisions of the Master Grant Agreement, including the State Specific Terms and Conditions, Agency Specific Terms and Conditions, Program Specific Terms and conditions and other standard clauses for all New York State grant contracts with DCJS. Applicable contract appendices and attachments are available on the DCJS website at <https://www.criminaljustice.ny.gov/ofpa/applcngntfrms.html>.

Minority and Women-Owned Business Enterprises (M/WBE) and Equal Employment Opportunity (EEO) Requirements – Applicable to state funded grants only

DCJS recognizes its obligation under New York State Executive Law article 15-A to promote opportunities for the participation of certified minority-and women-owned business enterprises (M/WBEs), as well as the employment of minority group members and women in the performance of DCJS contracts.

Grantees with contracts in excess of \$25,000 are encouraged to document good faith efforts to provide meaningful participation by M/WBEs as subcontractors or suppliers in the performance of grant contracts, as well as the employment of minority group members and women.

Accordingly, applicants requesting in excess of \$25,000 are encouraged to submit a Local Assistance M/WBE Subcontractor/Supplier Utilization Proposal Form (DCJS-3301), and a Local Assistance M/WBE NPS Discretionary Budget Determination Worksheet (DCJS-3309). For contracts in excess of \$250,000 applicants would submit an M/WBE Equal Employment Opportunity Staffing Plan (DCJS-3300). All forms are located at <http://www.criminaljustice.ny.gov/ofpa/mwbe/index.htm>.

DCJS will review the submitted Local Assistance M/WBE Equal Employment Opportunity Staffing Plan, the Local Assistance M/WBE Subcontractor/Supplier Utilization Proposal Form, Local Assistance M/WBE NPS Discretionary Budget Determination Worksheet, and Minority and Women-Owned Business Enterprises and Equal Employment Opportunity Policy Statement and advise the applicant if needed once an award determination is made. For additional information regarding M/WBE requirements see also <https://www.criminaljustice.ny.gov/ofpa/mwbe/index.htm>. There are no points attributable to this component of the proposal.

Sexual Harassment Prevention Certification

As of January 1, 2019, bidders on procurements subject to competitive bidding in New York State, are required to submit a certification with every bid that states they have a policy addressing sexual harassment prevention and that they provide sexual harassment training to all employees on an annual basis. Pursuant to State Finance Law §139-l, bidders responding to a competitively bid Request for Solicitation, must certify that by submission of their bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies its own organization, under penalty of perjury, that the bidder has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at a minimum, meet the requirements of section two hundred one-g of the labor law. Bidders that do not certify will not be considered for award; provided however, that if the bidder cannot make the certification, the bidder provides a signed statement with their bid detailing the reasons why the certification cannot be made.

In addition to requiring this certification for competitively bid solicitations, DCJS has included this requirement for all grantees receiving funds from DCJS. Grantees must provide certification that they have implemented a written policy addressing sexual harassment prevention in the workplace and that they provide annual sexual harassment prevention training to all of their employees.

Therefore, all applicants to this solicitation must complete the certification provided on the DCJS website at: <https://www.criminaljustice.ny.gov/ofpa/mwbe/index.htm> (please copy/paste the link into your browser and scroll down to letter J) and submit the completed certification as an attachment to the application in GMS.

Vendor Responsibility

Not-for-Profit entities that are receiving an award of \$100,000 or greater are required to complete an updated Vendor Responsibility Questionnaire. The questionnaire and additional information are available on the NYS Office of State Comptroller website:

<http://www.osc.state.ny.us/vendrep/>. Any not-for-profit sub-grantees must also complete a Vendor Responsibility Questionnaire.

Charities Registration

Not-for-Profit entities must also ensure that their filing requirements are up-to-date with the Charities Bureau of the NYS Attorney General's Office. Further information on the registration requirements may be obtained at the Attorney General's website:

<http://www.charitiesnys.com/home.jsp>.

Data Universal Numbering System (DUNS) Registration Requirements

All DCJS funding applicants are required to provide a DUNS number. If you are unsure whether your organization has a DUNS number, check with your Fiscal Officer. New applicants will enter the DUNS number in GMS while completing the Participant section; existing DCJS grantees whose DUNS number is not already on file should email the number to funding@dcjs.ny.gov to have it entered by DCJS staff prior to submission of the application. Any organization needing a DUNS number can register through the following link: <http://fedgov.dnb.com/webform>. Please note the process of requesting and receiving a DUNS number and/or having it entered into GMS by DCJS staff will require additional time. It is strongly recommended that applicants begin this process early.

X. PROPOSAL SUBMISSION AND REQUIREMENTS

A. Specific Instructions

One proposal should be submitted for each agency/organization. Proposals must be submitted to DCJS using the DCJS Grants Management System (GMS).

B. Grants Management System (GMS)

First time GMS users should download the GMS User Manual located at <http://www.criminaljustice.ny.gov/ofpa/gms.htm>. If you need assistance with accessing and using GMS, please contact the DCJS Office of Program Development and Funding (OPDF) GMS Help Desk at (518) 457-9787. **The DCJS GMS Help Desk is available Monday through Friday between the hours of 9:00 am and 5:00 pm. However, applicants should note specific solicitation submission deadlines.**

The following information is specific to this solicitation. For general guidance and GMS

Helpful Hints see Appendix: *Grants Management System (GMS) Instructions and Helpful Hints*.

Submitting a Proposal on GMS

To submit a new proposal on GMS, log on to the system and click on “Project.” Click the “New” button at the top of the project grid. This will take you to a screen that says, “Select a Program Office.” Using the drop-down box, find and select the name of the program **SNUG Street Outreach and SNUG Social Work Program**. Click “Create Project.” Your application will now be ready to complete.

Completing the Application

Applicants must complete the GMS registration as well as the registration and/or prequalification on the NYS Grants Gateway System early to avoid any concerns with these automated systems. Grants Gateway prequalification and GMS signatory registration **must** be completed prior to the submission of a proposal. Each application will consist of the following components that must be completed using GMS:

- Participant name(s): primary contact, fiscal contact, contract signatory;
- Contact information for all participating agencies or organizations;
- Answers to program specific questions;
- Program Budget (see Application Checklist for specific instructions regarding Budget submission);
- Project Work Plan; (see Application Checklist for specific instructions regarding Work Plan submission); and
- Any required attachments indicated in this solicitation and noted below in the checklist.

When all of the above requirements and any other indicated GMS components are completed, click the “Submit” button.

GMS will review the application for completeness. If any fields are missing, a report will display what remains to be completed. Once all fields are complete and the application is submitted successfully, GMS will display a pop-up screen that says, “Your application has been submitted.”

XI. PROPOSAL/APPLICATION CHECKLIST

- Obtain and submit DUNS number as directed in Section IX of this solicitation.
- Complete Grants Gateway Prequalification Requirements – See Appendix: *Grants Gateway Prequalification Process*.
- All Applicants: Complete all DCJS Grants Management System (GMS) Registration Requirements – See Appendix: *DCJS Grants Management System (GMS) Instructions and Helpful Hints*.
- Review and complete, where necessary, all contractual requirements as described in Section IX *Administration of Contracts*.

- Answer Questions in *QUESTIONS* TAB in GMS and also attach the answers in a Word Document to GMS -- See Section VI of this solicitation.
- Complete BUDGET TAB on GMS by entering the sample line-item Operating Budget for the SNUG Street Outreach Program and the SNUG Social Work Program. Applicants will need to enter two budget versions in GMS. On the BUDGET tab click on “Create new Budget version”. After one budget version has been entered for the SNUG Street Outreach Program, click on “Create New Budget Version” again to enter a second budget version for the SNUG Social Work Program.
- Complete the WORKPLAN tab by entering “TBD” on all required areas. TBD will need to be entered into one “Objective,” one “Task,” and one “Performance Measure” to submit the application.

Appendix: DCJS GRANTS MANAGEMENT SYSTEM (GMS) INSTRUCTIONS AND HELPFUL HINTS - Updated December 2020

See Solicitation for additional specific GMS directions.

First-time GMS users should download the GMS User Manual located at <http://www.criminaljustice.ny.gov/ofpa/gms.htm>.

Persons familiar with GMS can use the following simplified guidelines:

Getting Started: Sign on to GMS.

Click "Project" to go to project grid. Click the "New" button at the top of the project grid. This will take you to a screen that says "Select a Program Office" in a drop-down box format. Find and highlight "Name of funding program," then click "Create Project."

This begins your application. You may work on the application, save and return to it at a later time, except as noted below. **Note that GMS will time out after 30 minutes of inactivity.** That means that you should save your work frequently. Each save re-sets the timer.

In the newly created project complete the following Tabs which are listed across the top of the screen:

General - Complete the text screens and press save.

Participants/Contacts - Complete the text screens and press save.

Click on "Add Participant" and in the search prompt that appears type in your agency name. This should take you to a list, find your agency, and click in the blue section of your agency name. This will prompt a drop-down list that defaults to "Grantee." Click "Add." If there will be a separate Implementing Agency, repeat the process, choosing "Implementing Agency" as the Participant Type. In the event your agency is not listed, click the "New" button to add your agency to our database. Please complete all required information on the screen, including the Employer Identification Number (EIN) before you SAVE the entry. GMS will allow only one attempt then lock the entry to edits. Should you still need additional information added to the Participant record, please call GMS Help at (518) 457-9787.

Scroll to the bottom of the screen to add contact information. Click on "Add Contact" and in the search prompt that appears type in the last name of the person to be added. This should take you to a list. Find the person to be added and click in the blue section of the name. This will prompt a drop-down list that defaults to "Primary." Ensure you do this until you have added a minimum of three contacts: Primary, Signatory and Fiscal. If the contact you are attempting to add does not appear in a search, click the "New Contact" button to add the contact to our database.

Note: If the signatory you attempt to add is not eSignature registered, you will get an error message and will not be allowed to add that person at that time. You will NOT

be able to submit the application without a signatory attached. Please refer to <http://www.criminaljustice.ny.gov/ofpa/gms.htm> to add a signatory for your agency.

Budget - Complete BUDGET TAB on GMS by entering the line-item Operating Budget for the SNUG Street Outreach Program and the SNUG Social Work Program. Applicants will need to enter two budget versions in GMS. Applicants are strongly encouraged to use the sample budgets provided. Please see Appendix: *Sample Budgets*. On the BUDGET tab click on “Create new Budget version.” After one budget version has been entered for the SNUG Street Outreach Program, click on “Create New Budget Version” again to enter a second budget version for the SNUG Social Work Program.

Work Plan - In the GMS Work Plan Tab, enter “TBD” in the Project Goal, Objective, Task and Performance Measure – See Application Checklist in Section XI of the solicitation.

- ✓ Fill in the “Project Goal” text box and click “Save.”
- ✓ Click “Create New Objective” and fill in the text box with TBD and click “Save.”
- ✓ Click “Add Task to this Objective” and fill in the text box with TBD and click “Save.”
- ✓ Click “Add Performance Measure to this Task” and fill in the text box with TBD and click “Save.”

Questions-

Answer all required project narrative and budget information questions.

Hint: Answers should be developed in Word. GMS will time out after 30 minutes and unsaved material will be lost. Cutting and pasting from a Word document will prevent the loss of any work.

Attachments -

Click on “Attachment” and upload the required attachments for this solicitation. Note: Follow the instructions in the GMS User’s Manual for Attachments; also, see screen instructions for accepted file types and advice on file names.

Remember: Failure to submit required documents will be considered the same as failure to meet the deadline for application submission. This may result in a non-award due to the application being untimely.

When all requirements are completed, click the “Submit” button. If any fields are missing, a report will display what remains to be completed. Once all fields are complete and the application is submitted, GMS will display a screen that says, “*Your application has been submitted.*” In addition, GMS will send an email notification to the Signatory official listed on the application to make him or her aware that an application has been submitted on your jurisdiction’s or organization’s behalf.

Appendix: Grants Gateway Prequalification Requirement

Grants Gateway Pre-Qualification

Not-for-profit applicants **must** be pre-qualified through the New York State Grants Gateway prior to the application submission deadline to be eligible for awards under this funding.

Applicants are strongly encouraged to begin this process as soon as possible.

Pursuant to the New York State Division of Budget Bulletin H-1032, dated June 7, 2013, New York State has instituted key reform initiatives to the grant contract process, which require not-for-profits to register in the Grants Gateway and complete the Vendor Prequalification process for proposals to be evaluated. Information on these initiatives can be found on the [Grants Reform Website](http://www.grantsreform.ny.gov/) at <http://www.grantsreform.ny.gov/>.

Specific questions about the prequalification process should be referred to your agency representative or to the Grants Reform Team at grantsreform@budget.ny.gov.

Once your Prequalification Application has been approved, you will receive a Gateway notification that you are now prequalified to do business with New York State.

Vendors are strongly encouraged to begin this process as soon as possible to participate in this opportunity.

Appendix: Sample Budgets

Version 1 - SNUG Street Outreach Budget to be entered in GMS

Personnel	Number	Unit Cost	Total Cost	Grant Funds	Matching Funds
Program Director	1	\$55,000	\$55,000	\$55,000	\$0
Justification: Program Director to manage day to day operations of the SNUG Outreach Program. 100% FTE					
Outreach Worker Supervisor #1	1	\$48,000	\$48,000	\$48,000	\$0
Justification: Outreach Worker Supervisor to help oversee Outreach Workers. 100% FTE					
Outreach Worker Supervisor #2	1	\$48,000	\$48,000	\$48,000	\$0
Justification: Outreach Worker Supervisor to help oversee Outreach Workers. 100% FTE					
Outreach Worker	1	\$40,000	\$40,000	\$40,000	\$0
Justification: Outreach Workers to work with high risk youth population 100% FTE					
Outreach Worker	1	\$40,000	\$40,000	\$40,000	\$0
Justification: Outreach Workers to work with high risk youth population 100% FTE					
Outreach Worker	1	\$40,000	\$40,000	\$40,000	\$0
Justification: Outreach Workers to work with high risk youth population 100% FTE					
Outreach Worker	1	\$40,000	\$40,000	\$40,000	\$0
Justification: Outreach Workers to work with high risk youth population 100% FTE					
Site Administrator	1	\$10,000	\$10,000	\$10,000	\$0
Justification: Agency Director to help guide the program. 10% FTE					
Total Personnel				\$321,000	
Fringe	1	\$96,300	\$96,300	\$96,300	\$0
Justification: 30% Fringe Rate					
Equipment	1	\$15,000	\$15,000	\$15,000	\$0
Justification: Equipment for Program Start up					
Supplies	1	\$15,000	\$15,000	\$15,000	\$0
Justification: Supplies for program day to day operation					
Travel	1	\$15,000	\$15,000	\$15,000	\$0
Justification: Travel to trainings, conferences, meetings, and local travel for program					
Rent	1	\$15,000	\$15,000	\$15,000	\$0
Justification: Rent for office in Target area. 100% charged to the grant					
Indirect Costs	1	\$50,000	\$50,000	\$50,000	\$0
Justification: 10% indirect rate					
Operating Expenses	1	\$22,700	\$22,700	\$22,700	\$0
Justification: Day to day expenses including but not limited to utilities, program supplies, insurance, etc.					
Total				\$550,000	

Version 2 - SNUG Social Work Budget to be entered in GMS

Personnel	Number	Unit Cost	Total Cost	Grant Funds	Matching Funds
Social Worker	1	\$55,000	\$55,000	\$55,000	\$0
Justification: Social Worker to work with Crime Victims 100% FTE					
Social Worker	1	\$55,000	\$55,000	\$55,000	\$0
Justification: Social Worker to work with Crime Victims 100% FTE					
Case Manager	1	\$38,500	\$38,500	\$38,500	\$0
Justification: Case Manager to assist with Victim Services 100% FTE					
Total Personnel				\$148,500	
Fringe	1	\$44,550	\$44,550	\$44,550	\$0
Justification: 30% Fringe Rate					
Equipment	1	\$5,000	\$5,000	\$5,000	\$0
Justification: Equipment for Program Start Up					
Supplies	1	\$3,000	\$3,000	\$3,000	\$0
Justification: Supplies for day to day operation					
Travel	1	\$4,000	\$4,000	\$4,000	\$0
Justification: Travel to trainings, conferences, meetings and local travel program					
Rent	1	\$10,000	\$10,000	\$10,000	\$0
Justification: Rent for Office in Target Area 100% charged to the grant					
Indirect Costs	1	\$26,000	\$26,000	\$26,000	\$0
Justification: Indirect Costs 10%					
Victim Services	1	\$8,000	\$8,000	\$8,000	\$0
Justification: Support for Victims					
Operating Expenses	1	\$10,950	\$10,950	\$10,950	\$0
Justification: Day to day expenses including but not limited to utilities, program supplies, insurance, etc.					
Total				\$260,000	

Appendix: Standard Work Plan – This Work Plan is provided for applicant information and is not required to be entered or attached as part of the Application. Please see solicitation *Checklist* for specific instructions regarding the Work Plan requirement in GMS.

WORK PLAN – SNUG Street Outreach Program

GOAL:

Reduce the incidence of shootings and killings in the city of Rochester through the implementation of the NYS SNUG Outreach Program.

Objective #1:

Implementation and operation of a SNUG Outreach site in accordance with the SNUG Manual.

Task #1:	To implement the SNUG Outreach program in accordance with the SNUG Manual.
Perf Measure #1:	Complete required data entry into the SNUG Database for all appropriate data entry level tasks.
Perf Measure #2:	Percent of participants receiving 90-day check-ins as required by DCJS. Submission of Quarterly SNUG Database Reports into the Grants Management system (GMS).
Perf Measure #3:	Submission of Quarterly SNUG Database Reports into the Grants Management system (GMS).
Task #2:	Participation in SNUG Director and SNUG Training Director activities. These include, but are not limited to: Site Visits, Monthly Conference Calls and Bi-Annual SNUG meetings
Perf Measure #1:	List of statewide and local activities attended, and/or participated in, for the quarter, entered in the SNUG Database.
Task #3:	Staff will participate in basic, booster and additional training in relevant program area topics. Minimum of 40 hours a year for new hires and 24 hours for veteran staff.
Perf Measure #1:	Number of training hours documented and entered into the SNUG Database.

Objective #2:

Sustain the SNUG Outreach program by hiring and training appropriate staff.

Task #1:	Use approved panels in hiring. (Exceptions or substitutions
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	must be approved in advance by DCJS).
Perf Measure #1:	Maintain a log of hiring panel composition and activities. The log should include panel members and people interviewed and whether the person interviewed was recommended to be hired.
Task #2:	Maintain a staff team consistent with the criteria and job descriptions outlined for the SNUG program in the SNUG Manual.
Perf Measure #1:	Submission of Quarterly reporting of changes in staffing structure and staffing during the quarter. Information on staffing changes should be entered into the SNUG database on an ongoing basis. **Note: DCJS should be notified within 24 hours of any staff firing, staff arrests or significant staff issues.**

Objective #3:

Participation in, and victim/client referral to, the NYS SNUG Social Work Program.

Task #1:	The SNUG Outreach program will actively engage in the Social Work initiative to help provide case management, client referrals and individual/group therapy to victims of crime.
Perf Measure #1:	Provision of appropriate resources, such as confidential office space, for the social workers
Perf Measure #2:	Use of Social Workers in the recruitment of and hiring panels for SNUG staff as needed.
Perf Measure #3:	# Weekly staff meetings with Social Workers
Perf Measure #4:	Social Work staff attendance at community events, shooting responses, participant events and other SNUG program events

Objective #4:

To implement the provisions of NYS Exec. Law Article 15-A and 5 NYCRR Parts 142-144 Minority and Women-Owned Business Enterprise Regulations (MWBE) by providing meaningful participation by NYS Certified MWBEs, defined as subcontractors or suppliers.

Task #1:	Utilize good faith efforts, pursuant to 5 NYCRR §142.8 of the New York State Executive Law Article 15-A, to meet the maximum feasible portion of the organization's established MWBE goals.
Perf Measure #1:	What percent of your established Minority and Women Business Enterprise goal have you met to date?

Appendix: Standard Work Plan – This Work Plan is provided for applicant information and is not required to be entered or attached as part of the Application. Please see solicitation Checklist for specific instructions regarding the Work Plan requirement in GMS.

Workplan – SNUG Social Work Program

GOAL:

The goal of this program is to expand services to crime victims that will complement the current NYS SNUG Outreach program. NYS SNUG Outreach focuses on violence reduction efforts associated with firearms. By adding a Social Work program to the already existing outreach program, the SNUG site will be able to address trauma experienced by program participants, communities and SNUG staff. The SNUG Social Work program will strive to improve access to victim services for participants and their families. Social Workers and Case Managers will be hired and trained to better address ways in which constant exposure to violence affects youth served by the SNUG program, their communities and SNUG staff.

Objective #1:

Hire a trauma-focused clinical social worker whose skillset and training will complement and enhance the outreach services the SNUG Outreach site currently provides. The prospective candidate must have a Master’s Degree in social work from an accredited university (or a master’s degree in a related field); have credentials as a Licensed Master Social Worker (LMSW); and should have 2+ years of experience working in or near the SNUG target areas. Any changes or exceptions to this hiring requirement must be approved by DCJS.

Task #1:	Establish and/or maintain an agency job description for the SNUG Social Worker based on the DCJS established guidelines.
Perf Measure #1:	Agency’s SNUG Social Worker meets the DCJS guidelines.
Task #2:	Canvass, recruit and establish a hiring panel for a Social Worker as needed.
Perf Measure #1:	Hiring information maintained at the agency. And/or name and date of hire.
Task #3:	The site will obtain appropriate office space for the Social Worker located within or next to the SNUG site office. Office space must allow for complete privacy between the social worker and their clients.
Perf Measure #1:	Adequate Office space is maintained

Objective #2:

Hire a trauma-focused case manager whose skillset and training will complement and enhance the outreach services the SNUG Outreach site currently provides. The prospective candidate should have an associate degree from an accredited university; experience in the social services field; and 2+ years of experience working in or near the SNUG target areas. Any changes or exceptions to this hiring requirement must be approved by DCJS.

Task #1:	Establish and/or maintain an agency job description for the SNUG Case Manager based on the DCJS guidelines.
Perf Measure #1:	Agency's SNUG Case Manager meets the DCJS guidelines.
Task #2:	Canvass, recruit and establish a hiring panel for a Case Manager, as needed.
Perf Measure #1:	Hiring information maintained at the agency. And/or name and date of hire.

Objective #3:

The Social Worker(s) and Case Manager(s) will provide crime victim services for approximately 40 hours a week. The services provided must follow the federal Victims of Crime Act (VOCA) standards and guidelines. Services provided to the community and/or SNUG staff must be crime victim related. The social work staff will work closely with DCJS statewide and regional Social Workers who will be overseeing this project.

Task #1:	Provide approximately 40 hours a week of crime victim services to SNUG staff and community crime victims.
Perf Measure #1:	Documentation in the form of time sheets and entry of services provided into the SNUG Social Work database, up to date on a weekly basis.

Objective #4:

Collect data and compile reports consistent with the required federal Victims of Crime Act (VOCA) performance measures and assist DCJS data collection for the federal quarterly Performance Measurement Tool (PMT) data system.

Task #1:	Submission of quarterly reports that will help inform the federal quarterly Performance Measurement Tool (PMT).
Perf Measure #1:	Quarterly PMT, and Progress Reports submitted on time.
Task #2:	Data entry of Social Work and Case Management work into the SNUG Social Work Database.
Perf Measure #1:	Database entry reports are complete and accurate.

Objective #5:

The SNUG Social Worker(s) and the SNUG Case Manager(s) will work closely with the NYS SNUG Social Work Director and the Regional SNUG Social Work Supervisors to ensure fidelity of program implementation.

Task #1:	The SNUG Social Worker(s) and/or Case Managers attend trainings that include, but are not limited to, trauma training with a Trauma Systems Training contractor; DCJS bi-annual trainings; and other trainings and meetings necessary for job training and job effectiveness.
Perf Measure #1:	List of trainings maintained, including dates, and Certificates of Completion kept on file.
Task #2:	The SNUG Social Worker(s) and/or Case Managers will meet with the SNUG Social Work Director and Regional SNUG Social Work Supervisors on a schedule to be determined by DCJS.
Perf Measure #1:	Documented participation with the DCJS SNUG Social Work team.

Objective #6:

The SNUG site will work with a research partner chosen by DCJS. The research activities will help inform the project from start up to implementation.

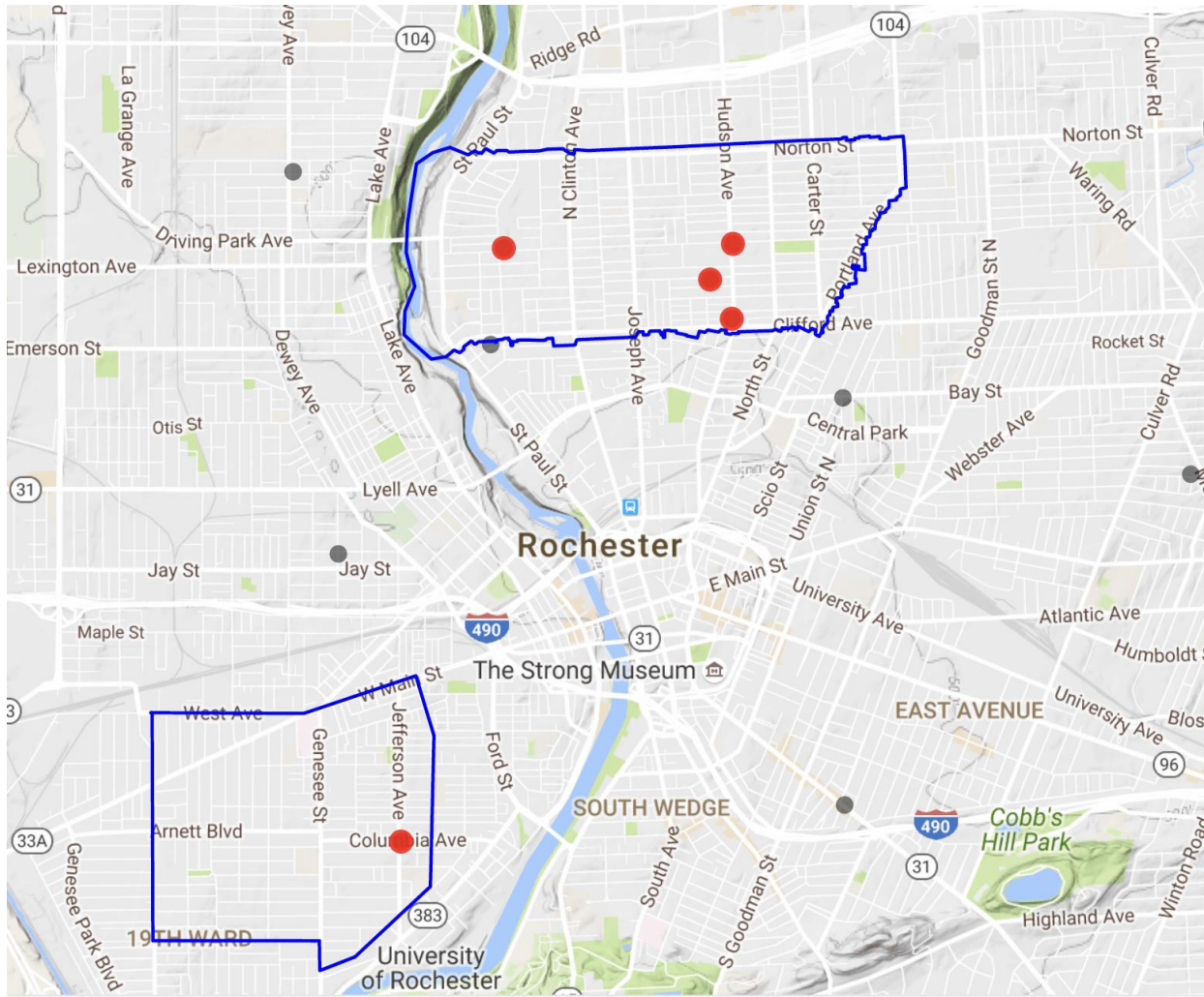
Task #1:	Participation and cooperation with the SNUG Social Work Evaluator, including participation in development of logic models, site visits by the research team and other activities necessary to inform the research project.
Perf Measure #1:	Documented participation with the research team.

Objective #7:

MWBE- To implement the provisions of NYS Exec. Law Article 15-A and 5 NYCRR Parts 142-144 Minority and Women-Owned Business Enterprise Regulations (MWBE) by providing meaningful participation by NYS Certified MWBEs, defined as subcontractors or suppliers.

Task #1:	MWBE Goal- Utilize good faith efforts, pursuant to 5 NYCRR §142.8 of the New York State Executive Law Article 15-A, to meet the maximum feasible portion of the organization's established MWBE goals.
Perf Measure #1:	Percentage of your established Minority and Women Business Enterprise goal you have met to date

Appendix: Target Areas – Rochester East and West



End of Solicitation.
