

## Recidivism for Individuals Sentenced to Probation

The Recidivism for Individuals Sentenced to Probation Report details arrest, conviction and incarceration data for individuals who were sentenced to probation.

### Cohort

This report analyzes the recidivism of individuals sentenced to probation from 2010 through 2019 for criminal convictions or Youthful Offender (YO) adjudications stemming from arrests for misdemeanor or felony offenses that required fingerprints to be taken.

- An individual may be counted in multiple cohort years but within each year, an individual is only counted once for their first probation sentence.
- An individual sentenced to “jail and probation”, also known as a split sentence, is included in the cohort based on the start date of their probation sentence.

**Note:** State law details the types of crimes that require fingerprints to be taken upon arrest (see CPL Article 160). YO status is granted by the court and results in a conviction being sealed and only available under limited circumstances (see CPL Article 720).

### Outcome Measures

This report tracks arrests, convictions and incarceration that occurred after the probation start date. Follow-up periods range from one to five years, with earlier cohorts having longer follow-up windows.

### Recidivism Types

- **Arrest:** Any arrest for felony or misdemeanor offense requiring fingerprints to be taken within the follow-up period. The arrest cannot be for a crime committed before the follow-up period.
- **Conviction:** Any arrest as defined above within the follow-up period that resulted in a conviction or YO adjudication. To allow for case processing time, conviction rates are not presented for the two most recent cohort years.
- **Incarceration:** Any criminal conviction or YO adjudication as defined above that resulted in a term of incarceration: prison, jail, jail + probation, or time served.

Individuals may have multiple recidivism events during the follow-up periods, but each person is only counted once for each recidivism type within each cohort.

### Offense Types

Misdemeanor or Felony: Any misdemeanor or felony offense in state law that requires fingerprints to be taken upon arrest.

Felony offenses: Any felony level offense.

Violent Felony: Any felony offense included in state Penal Law Section 70.02, and Class A violent felonies.

**Note:** This report replaces the *Probationer Felony Re-Arrest Rates Following Sentence to Probation Report*. It uses updated data and methodology and as a result, comparisons should not be drawn between the data in each report.

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**Arrest**

**Arrest for Felony or Misdemeanor Offenses**

Dispo. Yr	Cohort N	1 Year	2 Years	3 Years	4 Years	5 Years
2010	1,036	23.4%	36.8%	43.3%	48.3%	52.5%
2011	901	24.3%	34.6%	42.2%	47.5%	50.8%
2012	866	23.4%	32.4%	38.6%	44.0%	48.8%
2013	951	21.8%	32.6%	38.8%	44.3%	48.6%
2014	919	23.9%	34.2%	42.0%	47.4%	50.7%
2015	831	23.9%	35.4%	41.2%	45.0%	47.9%
2016	797	25.0%	34.3%	41.3%	44.5%	
2017	765	23.3%	32.8%	38.8%		
2018	663	21.4%	29.7%			
2019	545	15.8%				

**Arrest for Felony Offenses**

Dispo. Yr	Cohort N	1 Year	2 Years	3 Years	4 Years	5 Years
2010	1,036	11.0%	18.0%	22.9%	27.2%	31.7%
2011	901	11.0%	18.0%	23.3%	27.6%	31.1%
2012	866	12.5%	18.6%	23.8%	27.8%	31.5%
2013	951	10.6%	18.1%	21.9%	25.8%	29.0%
2014	919	11.8%	18.8%	24.6%	28.9%	31.7%
2015	831	13.6%	21.7%	26.0%	29.0%	31.0%
2016	797	12.8%	18.9%	24.1%	27.1%	
2017	765	12.9%	20.3%	25.2%		
2018	663	11.3%	16.7%			
2019	545	7.9%				

**Arrest for Violent Felony Offenses (incl. VFO like Class A-1)**

Dispo. Yr	Cohort N	1 Year	2 Years	3 Years	4 Years	5 Years
2010	1,036	3.1%	5.3%	6.6%	8.5%	9.4%
2011	901	2.8%	4.3%	5.9%	7.8%	8.8%
2012	866	3.5%	5.9%	7.6%	9.0%	10.3%
2013	951	2.8%	4.9%	6.1%	7.6%	8.3%
2014	919	2.6%	5.0%	6.3%	7.9%	8.7%
2015	831	3.6%	6.4%	7.8%	9.1%	10.3%
2016	797	3.8%	5.3%	6.9%	7.5%	
2017	765	3.3%	6.4%	8.5%		
2018	663	3.3%	5.9%			
2019	545	2.4%				

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**Conviction**

**Conviction for Felony or Misdemeanor Offenses**

Dispo. Yr	Cohort N	1 Year	2 Years	3 Years	4 Years	5 Years
2010	1,036	14.1%	22.3%	28.6%	33.3%	36.7%
2011	901	15.0%	23.0%	28.6%	33.5%	36.5%
2012	866	14.3%	20.8%	26.0%	30.5%	33.8%
2013	951	12.8%	21.6%	26.7%	31.0%	34.3%
2014	919	14.3%	22.3%	28.2%	33.0%	
2015	831	17.0%	24.4%	28.5%		
2016	797	15.9%	22.5%			
2017	765	14.0%				

**Conviction for Felony Offenses**

Dispo. Yr	Cohort N	1 Year	2 Years	3 Years	4 Years	5 Years
2010	1,036	5.2%	8.0%	10.8%	14.5%	16.3%
2011	901	4.4%	8.1%	11.8%	13.8%	15.3%
2012	866	4.8%	7.5%	9.8%	11.9%	14.1%
2013	951	3.9%	7.6%	8.8%	11.3%	12.8%
2014	919	4.6%	7.5%	9.9%	11.8%	
2015	831	4.5%	8.1%	9.9%		
2016	797	4.8%	7.5%			
2017	765	4.8%				

**Conviction for Violent Felony Offenses**

Dispo. Yr	Cohort N	1 Year	2 Years	3 Years	4 Years	5 Years
2010	1,036	1.4%	2.0%	2.4%	3.4%	3.5%
2011	901	0.9%	1.4%	1.7%	2.3%	2.8%
2012	866	1.4%	1.8%	2.3%	2.8%	3.0%
2013	951	0.6%	1.3%	1.4%	2.1%	2.4%
2014	919	0.7%	1.3%	1.4%	2.0%	
2015	831	0.7%	1.9%	2.3%		
2016	797	1.1%	1.6%			
2017	765	1.3%				

**Conviction Resulting in Incarceration**

Dispo. Yr	Cohort N	1 Year	2 Years	3 Years	4 Years	5 Years
2010	1,036	9.5%	14.2%	18.5%	22.1%	24.3%
2011	901	9.8%	15.4%	20.0%	23.3%	26.0%
2012	866	11.0%	15.2%	19.6%	22.7%	25.3%
2013	951	8.7%	15.7%	19.3%	22.0%	23.7%
2014	919	10.9%	16.4%	20.0%	23.2%	
2015	831	12.0%	17.9%	20.6%		
2016	797	12.4%	16.8%			
2017	765	10.3%				