New York State Division of Criminal Justice Services

LOCAL ASSISTANCE MWBE SUBCONTRACTOR/SUPPLIER UTILIZATION PROPOSAL FORM

IMPORTANT: A LOCAL ASSISTANCE MWBE SUBCONTRACTOR/SUPPLIER UTILIZATION PROPOSAL FORM MUST BE SUBMITTED WITH BID OR PROPOSAL. IN ADDITION TO THE INITIAL SUBMITTAL OF THIS FORM, A DCJS-3301 MUST BE SUBMITTED FOR EACH SUBSEQUENT CONTRACT/RENEWAL PERIOD AND WITH ALL APPLICABLE BUDGET MODIFICATION REQUESTS, PROVIDING DETAIL OF NEW OR REASSESSED GOALS.

Grantee (Contractor) Information:

1. Name: The Fortune Society, Inc.
Address: 29-76 Northern Boulevard, Long Island City, NY 11101
Contact Person/Title: Stanley Richards / Senior Vice President
Telephone Number: 646-308-6979 / 347-510-3603
2. Contract Number: C523791
Project Number: AI13-1011-E00
3. DUNS Number: 073299901
4. Project/RFP Title: Workforce Development
5. Project Location (Municipality/County/Region): NYC
6. Contract Amount: 149100
7. Grantee Discretionary NP5 Amount: 2531
8. Contract Award Period: 10/1/2013 - 9/30/2014
9. Description of Goods/Services/Supplies Provided: Workforce Development

10. MWBE Subcontractor/Supplier Name and Address	11. NYS ESD Vendor ID Number	12. Description of Services & Supplies	13. MBE Goal Amount	14. WBE Goal Amount	15. Date of Subcontract	19. MWBE Status and Certification	VERIFIED BY DCJS
Information Systems Esseentials, Inc. 4422 Bronx Blvd, Bronx, NY 10470	20176803	Office Supplies		$152	10/1/2013 - 9/30/2014	☐ MBE ☑ WBE ☑ NYS Certified ☐ Certification Pending	☑
Liberty Office Supplies & Equipment, Inc. 111-03 101 Avenue, Jamaica, NY 11419	201644119	Office Supplies	$178		10/1/2013 - 9/30/2014	☑ MBE ☐ WBE ☑ NYS Certified ☐ Certification Pending	☑
Rainbow Printing, Inc. 121 Varick St 10th floor New York, NY 10013	20178797	Printing	$177		10/1/2013 - 9/30/2014	☑ MBE ☐ WBE ☑ NYS Certified ☐ Certification Pending	☑
						☐ MBE ☐ WBE ☐ NYS Certified ☐ Certification Pending	☐
16. Discretionary NPS Amount:	$2,531	17. Total MWBE Goals:	$355	$152			
		18. Total MWBE Percentages:	14%	6%			

NOTE: If NYS MWBE Certification is pending, a copy of the notice of application receipt issued by the NYS Empire State Development Corporation must accompany this form.

20. Contractor Certification: Stanley Richards ☑ My firm proposes to use the MWBEs listed above.

21. ☑ I certify that to the best of my knowledge, the information provided herein is complete and accurate. Date: 11/27/2013

MWBE Firms: ☑ NYS Certified ☐ Certification Pending ☐ Unknown

Reviewer Comments:

OPDF Contract Manager: Benjamin Lazaro

Review Date: 11/27/13

DCJS-3309 (Revision 9/2013)

New York State Division of Criminal Justice Services

Local Assistance MWBE NPS Discretionary Budget Determination Worksheet

A. Bidder/Applicant Name	The Fortune Society, Inc.	C. Project ID No.	AI13-1011-E00
B. Solicitation/Contract No.	C523791	D. Contract Amount	$149,100

E. Budget Category	F. Grant Funds	G. Exempt Amount	H. Excluded Amount	I. Discretionary Budget*	J. Exemption/ Exclusion Category	K. Additional Comments/Justification
1. Cumulative Personnel	$79,016	$79,016		$0	Personnel	
1. Cumulative Fringe Benefits	$19,754	$19,754		$0	Fringe Benefits	
1.				$0		
2.				$0		
3.				$0		
1.				$0		
2.				$0		
3.				$0		
4.				$0		
5.				$0		
1. Program Supplies	$1,002			$1,002		
2. Office Supplies	$1,230			$1,230		
3.				$0		
4.				$0		
5.				$0		
1.				$0		
2.				$0		
3.				$0		
1. Rent	$17,342	$17,342		$0	Occupancy Rental	
2. Trash Removal	$306		$306	$0	Pre-existing Contractual Obligation	Staircycle (10/1/2012 - 9/30/2014)
1.				$0		
2.				$0		
1. Postage	$124	$124		$0	Mail/Postage	
2. Printing	$299			$299		
3. Telephone	$1,411	$1,411		$0	Telephone/Utilities	
4. Equipment Maintenance	$979		$979	$0	Pre-existing Contractual Obligation	CT Networks (3/10/2013 - 3/10/2014); Cannon (1/2011 - 10/2016)
5. Equipment Rental	$1,288		$1,288	$0	Pre-existing Contractual Obligation	Cannon (10/2008-10/2013); Cannon (10/2013 - 10/2016; solicitation
Totals:	$122,751	$117,647	$2,573	$2,531	$122,751	

L. Certified By	Stanley Richards	I certify that to the best of my knowledge, the information provided herein is complete and accurate.	☑
M. Date November 27, 2013	N. Phone No. 648-308-6979	O. E-mail Address	srichards@fortunesociety.org

OPDF Contract Manager:	Benjamin Lozano	Review Date:	11/27/13
Reviewer Comments:			

Approved ☒ Denied ☐ Additional Information Requested ☐

DCJS-3309 (Revision 9/2013)

New York State Division of Criminal Justice Services

Local Assistance MWBE NPS Discretionary Budget Determination Worksheet

A. Bidder/Applicant Name	The Fortune Society, Inc.	C. Project ID No.	AI13-1011-E00
B. Solicitation/Contract No.	C523791	D. Contract Amount	$149,100

E. Budget Category	F. Grant Funds	G. Exempt Amount	H. Excluded Amount	I. Discretionary Budget	J. Exemption/ Exclusion Category	K. Additional Comments/Justification
4.				$0		
5.				$0		
6.				$0		
6.				$0		
7.				$0		
8.				$0		
9.				$0		
10.				$0		
8.				$0		
7.				$0		
8.				$0		
9.				$0		
10.				$0		
4.				$0		
5.				$0		
6.				$0		
3. Utilities	$3,422	$3,422		$0	Telephone/Utilities	
4.				$0		
3.				$0		
4.				$0		
6. Accounting & Auditing Fees	$585		$585	$0	Pre-existing Contractual Obligation	Marks Paneth & Shron LLP (3/29/2013 - 12/31/2014)
7. Commercial Insurance	$855		$855	$0	Pre-existing Contractual Obligetion	Willis of New Jersey, Inc. (9/2013 - 9/2014)
9. Payroll Service Fees	$810		$810	$0	Pra-existing Contractual Obligation	ADP (9/2012 - Proprietary)
9. Database Expenses	$369		$369	$0	Pre-existing Contractual Obligation	DocuSafe Records Management (1/28/2005 - Proprietary); Harmony, Inc.
10. Contracted Services (Phipps)	$360		$360	$0	Pre-existing Contractual Obligation	Phipps Houses Services, Inc. (4/9/2013 for 3 years, and then automatic
11. Staff Training and Conferences	$500		$500	$0	Other	Training/conferences as directed by the funder.
12. Indirect Cost	$19,448		$19,448	$0	Indirect Costs (Approved Federal Rate)	
13.				$0		
14.				$0		
15.				$0		
Cumulative Totals:	$149,100	$121,059	$25,500	$2,531	$149,100	

L. Certified By	Stanley Richards	I certify that to the best of my knowledge, the information provided herein is complete and accurate.	☑
M. Date November 27, 2013	N. Phone No. 646-308-6979	O. E-mail Address	srichards@fortunesociety.org
OPDF Contract Manager:	Benjamin Lorenz	Review Date:	11/27/13
Reviewer Comments:			

Approved ☑ Denied ☐ Additional Information Requested ☐