

New York State Division of Criminal Justice Services
LOCAL ASSISTANCE MWBE SUBCONTRACTOR/SUPPLIER UTILIZATION PROPOSAL FORM

IMPORTANT: THIS LOCAL ASSISTANCE MWBE SUBCONTRACTOR/SUPPLIER UTILIZATION PROPOSAL FORM MUST BE SUBMITTED TO THE BID OR PROPOSAL OFFICE WITHIN THE INITIAL SUBMITTAL OF THIS FORM. A DISQUALIFICATION NOTICE WILL BE ISSUED FOR EACH SUBMITTER WHOSE CONTRACT/RENEWAL PERIOD AND WITH ALL APPLICABLE BIDDING AND APPLICATION REQUESTS, INCLUDING DETAIL OF NEW OR REASSESSED GOALS.

Grantee (Contractor) Information:

1. Name: The Fortune Society, Inc. Address: 29-76 Northern Boulevard, Long Island City, NY 11101

Contact Person/Title: Stanley Richards / Senior Vice President Telephone Number: 646-308-6979 / 347-510-3603

2. Contract Number: CS23792 Project Number: A113-1009-E00 3. DUNS Number: 073299901

4. Project/RFP Title: Workforce Development 5. Project Location (Municipality/County/Region): NYC

6. Contract Amount: 900000 7. Grantee Discretionary NPS Amount: 11188 8. Contract Award Period: 10/1/2013 - 9/30/2014

9. Description of Goods/Services/Supplies Provided: Workforce Development

10. MWBE Subcontractor/Supplier Name and Address	11. NYS ESD Vendor ID Number	12. Description of Services & Supplies	13. MBE Goal Amount	14. WBE Goal Amount	15. Date of Subcontract	19. MWBE Status and Certification	20. Goal
Information Systems Esseentehls, Inc. 4422 Bronx Blvd, Bronx, NY 10470	20176803	Office Supplies		\$672	10/1/2013 - 9/30/2014	<input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> NYS Certified <input type="checkbox"/> Certification Pending	<input checked="" type="checkbox"/>
Liberty Office Supplies & Equipment, Inc. 111-03 101 Avenue, Jamaica, NY 114 19	20164419	Office Supplies	\$784		10/1/2013 - 9/30/2014	<input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> NYS Certified <input type="checkbox"/> Certification Pending	<input checked="" type="checkbox"/>
Rainbow Printing, Inc. 121 Varick St 10th floor New York, NY 10013	20178797	Printing	\$783		10/1/2013 - 9/30/2014	<input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> NYS Certified <input type="checkbox"/> Certification Pending	<input checked="" type="checkbox"/>
16. Discretionary NPS Amount: \$11,188			17. Total MWBE Goals: \$1,567	18. Total MWBE Percentages: 14%	6%		

NOTE: If NYS MWBE Certification is pending, a copy of the notice of application receipt issued by the NYS Empire State Development Corporation must accompany this form.

20. Contractor Certification: Stanley Richards My firm proposes to use the MWBEs listed above.

21. I certify that to the best of my knowledge, the information provided herein is complete and accurate. Date: 11/27/2013

MWBE Firms: MBE WBE Certification Pending Unknown

OPDF Contract Manager: Benjamin Lavan Reviewer Comments: _____ Review Date: 12/4/13

New York State Division of Criminal Justice Services
Local Assistance MWBE NPS Discretionary Budget Determination Worksheet

A. Bidder/Applicant Name: The Fortune Society, Inc. C. Project ID No.: A113-1009-E00
 B. Solicitation/Contract No.: CS23792 D. Contract Amount: \$900,000

E. Budget Category: F. Grant Funds: G. Exempt Amount: H. Excluded Amount: I. Discretionary Budget: J. Exemption/ Exclusion Category: K. Additional Comments/Justification

1. Cumulative Personnel	\$397,547	\$397,547		\$0	Personnel	
1. Cumulative Fringe Benefits	\$99,386	\$99,386		\$0	Fringe Benefits	
1.				\$0		
2.				\$0		
3.				\$0		
1.				\$0		
2.				\$0		
3.				\$0		
4.				\$0		
5.				\$0		
1. Program Supplies	\$622			\$822		
2. Office Supplies	\$8,501			\$8,501		
3.				\$0		
4.				\$0		
5.				\$0		
1. Client Travel	\$41,251	\$41,251		\$0	Travel	
2.				\$0		
3.				\$0		
1. Rent	\$108,089	\$108,089		\$0	Occupancy Rental	
2. Trash Removal	\$1,908		\$1,908	\$0	Pre-existing Contractual Obligation	Staircycle (10/1/2012 - 9/30/2014)
1.				\$0		
2.				\$0		
1. Postage	\$854	\$854		\$0	Mail/Postage	
2. Printing	\$2,065			\$2,065		
3. Telephone	\$9,754	\$9,754		\$0	Telephone/Utilities	
4. Equipment Maintenance	\$6,764		\$6,764	\$0	Pre-existing Contractual Obligation	CT Networks (3/1/0/2013 - 3/1/0/2014); Cannon (1/2011 - 10/2016)
5. Equipment Rental	\$8,900		\$8,900	\$0	Pre-existing Contractual Obligation	Cannon (10/2008-10/2013); Cannon (10/2013 - 10/2016; solicitation
Totals:	\$685,641	\$685,881	\$17,572	\$11,188		\$685,641

L. Certified By: Stanley Richards I certify that to the best of my knowledge, the information provided herein is complete and accurate.
 M. Date: November 27, 2013 N. Phone No.: 646-308-8979 O. E-mail Address: srichards@fortunesociety.org

OPDF Contract Manager: Reviewer Comments: Approved Denied Additional Information Requested
 Review Date:

New York State Division of Criminal Justice Services
Local Assistance MWBE NPS Discretionary Budget Determination Worksheet

A. Bidder/Applicant Name: The Fortune Society, Inc.
 B. Solicitation/Contract No.: C523792
 C. Project ID No.: A13-1009-E00
 D. Contract Amount: \$900,000

E. Budget Category	F. Grant Funds	G. Exempt Amount	H. Excluded Amount	I. Discretionary Budget	J. Exemption/ Exclusion Category	K. Additional Comments/Justification
4.						
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3. Utilities	\$21,329	\$21,329			\$0 Telephone/Utilities	
4.						
3.						
4.						
6. Accounting & Auditing Fees	\$3,491		\$3,491		\$0 Pre-existing Contractual Obligation	Marks Panath & Shron LLP (3/29/2013 - 12/31/2014)
7. Commercial Insurance	\$5,102		\$5,102		\$0 Pre-existing Contractual Obligation	Willis of New Jersey, Inc. (9/2013 - 9/2014)
6. Payroll Service Fees	\$4,833		\$4,833		\$0 Sole Source Procurement	ADP (9/2012 - proprietary)
9. Database Expenses	\$2,201		\$2,201		\$0 Sole Source Procurement	DocuSafe Records Management (1/28/2005 - proprietary); Harmony, Inc.
10. Contracted Services (Phipps)	\$2,148		\$2,148		\$0 Pre-existing Contractual Obligation	Phipps Houses Services, Inc. (4/9/2013 for 3 years, and then automatic
11. Client Incentivas	\$4,225		\$4,225		\$0 Other	Gift cards
12. Client Wages/Stipends	\$53,639		\$53,639		\$0 Personnel	
13. Indirect Cost	\$117,391		\$117,391		\$0 Indirect Costs (Approved Federal Rate)	
14.						
15.						
Cumulative Totals:		\$900,000	\$849,240	\$39,572		\$11,188
Cumulative Totals:						\$900,000

L. Certified By: Stanley Richards
 M. Date: November 27, 2013
 N. Phone No.: 646-308-6979
 O. E-mail Address: srichards@fortunesociety.org

OPDF Contract Manager: *Kesler Lohr*
 Reviewer Comments: *See memo forms approved for ADP, Harmony & DocuSafe - Gift cards are via cards bought through partner performing at no cost.*
 Approved Denied
 Review Date: *12/19/13*
 Additional Information Requested